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Color Singlet Condensation in QCD and Flux Squeezing

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## ABSTRACT

The non-perturbative condensation of the operator  $G_{\mu\nu}^2$  in QCD is discussed using renormalization group technique. It is shown that the magnetic condensation,  $\langle G_{\mu\nu}^2 \rangle > 0$ , leads to the new vacuum which has the energy lower than the perturbative vacuum. From this fact it is concluded that Green's functions calculated in the normal vacuum have tachyonic singularities. By assuming the gauge invariant local expansion of the effective action it is shown that the condensed vacuum has the property of vanishing dielectric constant. If the color electric field is applied by introducing heavy quarks at infinity, the condensation is partly broken and an infinite tube of the color electric flux is formed. Arguments rely heavily on the instability of the normal vacuum and on the negative character of the  $\beta$ -function. An attempt at the mean field type approximation is made. The comparison with the previous phenomenological approach is also given.

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## I. INTRODUCTION

The central problem in studying the low energy spectra of Quantum Chromo Dynamics (QCD)<sup>1</sup> is certainly to determine the ground state. Many people suspect that the normal perturbative ground state may not be a true one.<sup>2</sup>

In this paper we discuss one of the dynamical aspects of pure QCD, excluding Higgs' particles, which seems to play an important role in determining the true vacuum. (Quarks are introduced as external color sources.) The same problem has previously been discussed in an intuitive approximate way. Our starting point is a very simple observation that the two body force between normal massless gluons is attractive in the color singlet channel, and gluons can form a color singlet bound state which is necessarily a tachyonic one because gluons are massless. This means that the normal vacuum sits on the maximum, instead of minimum, of the potential corresponding to this bound state. The problem was first studied by a variational approach in terms of the Cooper pair,<sup>3</sup> which is the non-relativistic analogue of the tachyon, and then discussed<sup>4</sup> in terms of a tachyon by solving the Bethe-Salperter (B-S) equation in the ladder approximation. Both led to the same qualitative picture that such a bound state is formed for arbitrarily small coupling constant, i.e. the critical coupling constant is zero. However these approaches rely on gauge non-invariant approximations.

It is now clear that the local gauge invariance of the vacuum of QCD is an essential ingredient of the theory. In order to discuss the color singlet condensation phenomenon gauge invariantly and non-perturbatively, we choose in this paper the operator  $\hat{G}_{\mu\nu}^2(x)$  or  $\int d^4x \hat{G}_{\mu\nu}^2(x)$  and discuss its non-perturbative condensation. Here  $\hat{G}_{\mu\nu}^a$  is the usual Yang-Mills field strength tensor. We have used the fact that any field can be an interpolating field of the bound state as long as it has the same quantum number as the state we want to discuss. So that the source term  $J$  coupled to  $\hat{G}_{\mu\nu}^2$  is introduced and  $J_\mu^a$  coupled to the gluon field  $\hat{A}_\mu^a$  are also introduced to discuss the situation where quarks are present in the condensed vacuum. The introduction of  $J$  or  $J_\mu^a$  does not spoil the renormalizability of the theory so that the non-perturbative condensed solution, if it exists, should be a solution of the renormalization group equation (r.g.e.). The assumption taken in this paper is that the r.g.e. has a non-trivial solution, specifically (23) below is assumed to have a finite solution. Then the analysis of Sec. II shows that the magnetic type condensation of  $\hat{G}_{\mu\nu}^2$ , i.e.  $\Delta\phi \equiv \frac{1}{4} \langle : \hat{G}_{\mu\nu}^2 : \rangle > 0$ , leads to the vacuum which has lower energy than the normal vacuum. It occurs for arbitrarily small coupling constant. The reason why we believe in the existence of a non-trivial solution of (23) is two fold. The one is due to the results of the ladder approximation<sup>4</sup> where the physical elements leading to the condensation is the attractive force in the color singlet channel. The other is

due to the general statement that any zero mass theory which has the property of asymptotic freedom shows non-perturbative condensation phenomenon. There is no proof of this statement but there is also no example which contradicts with it. As an example we discuss in Appendix A the condensation of the Lagrangian in  $\lambda\phi^4$  theory. In the discussion in Sec. II the problem of operator mixing is neglected. It has been discussed by several authors<sup>5,6</sup> with the results that we can ignore the mixing when only the physical quantities are discussed.

Section III is devoted to the proof of the general statement that if some composite operator shows non-perturbative condensation then any Green's function calculated in the normal vacuum has tachyonic singularities in the channel which has the same quantum number as the above composite operator. According to this theorem, the Green's functions of QCD if calculated in the normal vacuum have tachyonic, i.e. spacelike, singularities in the color singlet channel. They will become complex for spacelike momentum. The imaginary part at zero momentum is related to the decay probability of the normal vacuum.

Now the true vacuum is filled with gluons which condense non-perturbatively forming a color singlet composite state. The normal gluons cannot be in the asymptotic states. The problem is to determine the 'color electrostatic' property of the vacuum, which is discussed in Sec. IV. The condensation of  $\hat{G}_{\mu\nu}^2$  does not violate the local gauge invariance of the vacuum so that the effective action is expected to have a gauge invariant local expansion (54) below. We have in mind the situation that an

infinitely heavy quark and antiquark with definite color index are introduced at infinity so that the static abelian constant 'color electric' field is chosen for the argument of the effective potential. Then we see that the applied color electric field  $E$  breaks the condensation so that  $\Delta\phi$  becomes a function of  $G \equiv \frac{1}{2} E^2$ . It is also seen that the dielectric constant  $\epsilon$  of the vacuum diminishes as the condensation  $\Delta\phi$  increases and  $\epsilon$  vanishes as  $\Delta\phi$  takes the vacuum value  $\Delta\phi = \Delta\phi_c$ . In deriving these results the sign of  $\Delta\phi$  ( $\Delta\phi > 0$ ) and  $\beta$ -function ( $\beta < 0$ ) play important roles. The stationarity condition, that is the sourceless condition  $J_\mu = 0$ , is satisfied by the normal solution  $G_{\mu\nu} = 0$  and by  $\epsilon = 0$ . The former solution cannot represent the condensed solution because the tachyonic singularities are present in the Green's functions owing to the results of Sec. III (see also Appendix 3). The perfect 'dielectric' property  $\epsilon = 0$  leads to a tube like solution for the color electric flux. We also attempt to discuss the behavior of the dielectric constant by mean field approximation.

Section IV is devoted to the discussion of the connection between the present approach and the previous phenomenological one.<sup>7</sup> We get qualitatively the same picture of the stable vacuum and the mechanism of flux squeezing. In the phenomenological approach the condition  $\epsilon = 0$  emerges as a stability condition of the vacuum. In the present approach we are forced to take the solution  $\epsilon = 0$  because the other solution  $G_{\mu\nu} = 0$  corresponds to the unstable normal solution.

The picture of the hadronic bound state we get in our paper is similar to the one discussed by Callan, Dashen and Gross.<sup>8</sup> But their instanton density is replaced here by the condensation  $\langle : \hat{G}_{\mu\nu}^2 : \rangle$ . The antishielding property of the vacuum is due to the ordinary gluons, not due to the instantons, which condense in the vacuum forming the color singlet composite states. We know from perturbation theory that gluons show the antishielding effects. The bag constant of MIT bag model<sup>9</sup> is supplied by the condensation energy density of the tachyonic bound state in our case.

In Sec. VI the discussions are presented on several points which seem to be crucial to the present investigations.

We look for the vacuum solution satisfying  $J = J_{\mu}^a = 0$  by taking a particular field configuration as an argument of the effective action, i.e., we probe the vacuum by the external field of the specific configuration. For the discussion of more complicated x-dependent (gluonium like) solutions, we need more general effective action which is not the subject of the present paper.

## II. NON PERTURBATIVE CONDENSATION OF $\hat{G}_{\mu\nu}^2$

For the purpose of discussing the non-perturbative translationally invariant condensation of  $\hat{G}_{\mu\nu}^2$ , the constant source  $J$  is introduced as

$$e^{i\Omega W[J]} \equiv \int e^{-\frac{i}{4}(1+J)\int \hat{G}_{\mu\nu}^2(x) d^4x} [d\hat{A}], \quad (1)$$

where  $\hat{G}_{\mu\nu}^a = \partial_\mu \hat{A}_\nu^a - \partial_\nu \hat{A}_\mu^a + gf^{abc} \hat{A}_\mu^b \hat{A}_\nu^c$ ,  $\hat{G}_{\mu\nu}^2 \equiv \hat{G}_{\mu\nu}^a \hat{G}_{\mu\nu}^a$  and  $\Omega$  is the space-time volume. The internal group is assumed to be SU(N) with the structure constants  $f^{abc}$ . Throughout the paper, except in Sec. III, the hat  $\hat{\phantom{x}}$  is used for the field operators or for the fields which are integrated out in the functional formalism. The fields without the hat are c-number quantities. It is known<sup>10</sup> that given a Lagrangian of the form

$$\hat{\mathcal{L}}_{J,g}(x) = -\frac{1}{4}(1+J) \hat{G}_{\mu\nu}^2(x), \quad (2)$$

then we need  $\delta^4(0)$ -term in the functional integrand in order to reproduce correct perturbation series. Thus (1) is modified to

$$e^{i\Omega W[J]} = \int e^{i \int \hat{\mathcal{L}}_{J,g}(x) d^4x + \frac{1}{2} \delta^4(0) \Omega \ln(1+J)} [d\hat{A}]. \quad (3)$$

The gauge we choose in this paper is the axial gauge or the background gauge in which  $Z_1 = Z_2$  holds. In the latter gauge ghost fields must be introduced. We suppress these gauge terms for simplicity because they do not affect our discussions below.

#### A. Defining $g_J$

By the change of integration variables  $\sqrt{1+J}\hat{A}_\mu^a \rightarrow \hat{A}_\mu^a$ , we rewrite (1) as

$$e^{i\Omega W[J]} = \int e^{i \int \hat{\mathcal{L}}_{0,g_J}(x) d^4x} [d\hat{A}] \quad (4)$$

where

$$\hat{\mathcal{L}}_{0,g_J}(x) = -\frac{1}{4} \left( \partial_\mu \hat{A}_\nu^a - \partial_\nu \hat{A}_\mu^a + g_J f^{abc} A_\mu^b A_\nu^c \right)^2(x), \quad (5)$$

$$g_J = g/\sqrt{1+J}. \quad (6)$$

Such a transformation has been used by Kluberg-Stern and Zuber<sup>5</sup> in their discussion of the insertion of  $\hat{L} \equiv \int \hat{\mathcal{L}}(x) d^4x$ . Now instead of making the theory finite governed by the Lagrangian of (2), we can make the equivalent theory (4) finite. So the renormalized coupling constant is introduced as usual.

$$g_J^r = \sqrt{Z(g_J^r, \Lambda/\mu)} g_J = \sqrt{Z} g/\sqrt{1+J}. \quad (7)$$

We define  $g^r$  as

$$g^r \equiv g_{J=0}^r = \sqrt{Z(g^r, \Lambda/\mu)} g. \quad (8)$$

Here  $\sqrt{Z} = Z_1^{-1} Z_3^{3/2} = \sqrt{Z_3}$  in the usual notation and  $\Lambda$  is the cutoff and  $\mu$  the subtraction point. It is not necessary to give the precise renormalization scheme to fix  $Z$ .

In order to discuss the expectation value of  $\hat{G}_{\mu\nu}^2$ ,  $d/dJ$  is applied to (1) but this produces extra infinities due to the hard character of  $\hat{G}_{\mu\nu}^2$ . The source  $J$  should thus be renormalized as

$$J = J^r Z_G(g^r, J^r, \Lambda/\mu). \quad (9)$$

In general  $Z_G$  depends on  $J^r$ , which is easily seen perturbatively (see below). From (7) and (8), bare quantities are eliminated to give

$$1 + JZ_G(g, J, \Lambda/\mu) = \frac{g^2}{g_J^2} \frac{Z(g_J, \Lambda/\mu)}{Z(g, \Lambda/\mu)}, \quad (10)$$

where we have suppressed the superfix  $r$  because only the renormalized quantities are used from now on.  $Z_G$  is chosen in such a way that  $g_J$  does not have  $\Lambda/\mu$  dependence. Now in order to see the perturbative structure we expand as

$$g_J^2 = \frac{g^2}{1+J} + f_1(J)g^4 + f_2(J)g^6 + \dots$$

$$Z = 1 + Z^{(1)}g^2 + Z^{(2)}g^4 + \dots$$

$$Z_G = 1 + Z_G^{(1)}g^2 + Z_G^{(2)}g^4 + \dots \quad (11)$$

From (10) it is seen that

$$\begin{aligned}
 Z_G^{(1)} &= - Z^{(1)} - f_1(J)(1+J)^2/J, \\
 Z_G^{(2)} &= \frac{1+J}{J} \times \left\{ -(1+J)f_2(J) + (1+J)^2 f_1^2(J) \right. \\
 &\quad \left. + (1+J)f_1(J)Z^{(1)} + \left(1 - \frac{1}{(1+J)^2}\right) Z^{(2)} + \frac{J}{1+J} Z^{(1)2} \right\}
 \end{aligned} \tag{12}$$

and so on. Thus  $Z_G$  can be chosen to be  $J$  independent up to the order  $g^2$ . But for higher orders  $Z_G$  contains  $J$  dependent infinities. Also higher order terms of  $g_J^2$ ,  $f_i(J)$  ( $i \geq 1$ ), depend on the renormalization prescription of  $\hat{L}$ . There is however a natural choice of renormalization conditions which make all  $f_i(J)$  ( $i \geq 1$ ) vanish. It is a generalization of the scheme discussed by Kluberg-Stern and Zuber<sup>5</sup> who discussed one insertion of  $\hat{L}$ .

We define  $n$ -insertion of renormalized  $\hat{L}$  into the propagator by

$$\begin{aligned}
 \pi^{(n)}(xy)_{\mu\nu}^{ab} &\equiv \left( D_{J=0}^{-1} \right) (x \ x')_{\mu\sigma}^{aa'} \left\{ \left( \frac{d}{dJ} \right)^n D_J(x'y')_{\sigma\rho}^{a'b'} \right\}_{J=0} \\
 &\quad \times \left( D_{J=0}^{-1} \right) (y'y)_{\rho\nu}^{b'b},
 \end{aligned} \tag{13}$$

where  $D_J(xy)_{\mu\nu}^{ab}$  is the propagator  $\langle \hat{A}_\mu^a(x) \hat{A}_\nu^b(y) \rangle$  of the theory governed by  $\hat{\mathcal{L}}_{J,g}$  of (2) where  $1+J$  is replaced by  $1+JZ_G$ . New divergences appear for each  $n$  so we can impose a renormalization condition for (13). In Fourier space  $\pi^{(n)}_{\mu\nu}^{ab}$  has the form

$\pi^{(n)}(q)_{\mu\nu}^{ab} = \pi^{(n)}(q^2)q^2\delta^{ab}g_{\mu\nu} + \text{gauge term.}$  We choose

$$\pi^{(n)}(q^2 = \mu^2) = (-1)^n n!. \quad (14)$$

The factor  $(-1)^n n!$  is taken from the tree contributions.

(For  $n=0$ , (14) is the renormalization condition determining  $Z_3$ .)

Now in an equivalent theory governed by  $\hat{\mathcal{L}}_{J=0, g_J}$  of (5), the propagator  $D_{g_J}(xy)_{\mu\nu}^{ab} = \langle \hat{A}_{\mu J}^a(x) \hat{A}_{\nu J}^b(y) \rangle$  is defined in the same way as (13) and (14) with  $n=0$ . Here  $\hat{A}_{\mu J}^a$  is the renormalized field and contains a factor  $\sqrt{1+JZ_G}/\sqrt{Z}$ . Thus  $g_J \hat{A}_{\mu J}^a = g \hat{A}_{\mu}^a$  and hence the inverse propagator  $D_J^{-1}(x,y)_{\mu\nu}^{ab}$  for finite  $J$  is related to  $D_{g_J}^{-1}(xy)_{\mu\nu}^{ab}$  by  $D_J^{-1} = D_{g_J}^{-1} \times g^2/g_J^2$ . With the above renormalization condition for  $D_{g_J}^{-1}$ , and writing the Fourier transform of  $D_J^{-1}(x,y)_{\mu\nu}^{ab}$  as  $\pi_J(q^2)q^2\delta^{ab}g_{\mu\nu} + \text{gauge term}$  we have

$$\pi_J(q^2 = \mu^2) = \frac{g^2}{g_J^2}. \quad (15)$$

It is easy to see that (14) requires  $d^n \pi_J(q^2 = \mu^2) / d^n J \Big|_{J=0}$

to be unity for  $n=0, 1$  and zero for  $n > 1$ . This leads to

$f_i = 0$  ( $i \geq 0$ ), i.e.

$$g_J^2 = \frac{g^2}{1+J}. \quad (16)$$

$Z_G$  is fixed by (12) order by order setting  $f_i=0$  ( $i \geq 1$ ) in the equation.

As has been stated, if we change the renormalization scheme of  $\hat{L}$ , then  $f_i$  will be changed but the lowest order relation of  $g_J$  in (11), i.e. (16), is unchanged. Thus if we restrict ourselves to small  $g$  then the relation (16) is renormalization prescription invariant.

If we apply  $d/dJ$  to (10) and set  $J=0$ , the result of Ref. 5 is reproduced,

$$Z_G(g, 0, \Lambda/\mu) = 1 - (g/2Z) \partial Z/\partial g. \quad (17)$$

and hence the anomalous dimension of  $\hat{L}$  is given by

$$\gamma_G(g) = (1/Z_G) \mu d Z_G/d\mu = -g d(\beta/g)/dg, \quad (18)$$

where 
$$\beta(g) = \mu dg/d\mu = b_0 g^3 + b_1 g^5 + \dots \quad (19)$$

In the above discussion, the problem of operator mixing is not discussed with the hope that such a mixing does not affect the physical quantities to be discussed in the following section.

#### B. Non-Perturbative Condensation of $\hat{G}_{\mu\nu}^2$ .

Having obtained a finite  $g_J$ , we discuss in this subsection the condensation of  $\hat{L} = \int \hat{\mathcal{L}}(x) d^4x$ . For this purpose we need renormalized  $W[J]$ , which is a sum of vacuum graphs in the

presence of the source  $J$ . They are quartically divergent in perturbation theory. In familiar examples where the source couples to soft operators such as the scalar boson field  $\phi$  these quartic divergences can be subtracted by taking the difference of  $W[J]$  and  $W[J=0]$ . In this case the  $J$ -independent subtraction makes  $\Delta W[J] \equiv W[J] - W[J=0]$  finite: we can apply the usual renormalization scheme to  $\Delta W[J]$  if the theory is renormalizable at all.

In our case however  $J$  couples to the hard operator  $\hat{G}_{\mu\nu}^2$  and  $J$ -independent subtraction does not work. In order to discuss this problem, we temporarily introduce the source term  $J_\mu^a(x)$  couple to the gauge field  $\hat{A}_\mu^a$  and consider  $W[J, J_\mu^a]$ . For fixed  $J$ ,  $V[J, A_\mu^a]$  is defined by the Legendre transform,

$$V[J, A_\mu^a] = -W[J, J_\mu^a] + \int J_\mu^a(x) \frac{\delta W[J, J_\mu^a]}{\delta J_\mu^a(x)} d^4x, \quad (20)$$

$$\frac{\delta W}{\delta J_\mu^a(x)} = A_\mu^a(x).$$

To render  $V$  finite, we subtract  $V_{\text{pert.}}[J, A_\mu^a=0]$  from  $V[J, A_\mu^a]$  where  $V_{\text{pert.}}[J, A_\mu^a=0]$  is the energy of the perturbative vacuum in the presence of  $J$ . It is a sum of one-particle irreducible (1 P.I.) vacuum graphs calculated perturbatively and hence it is quartically divergent. The difference  $\Delta V$ ,

$$\Delta V[J, A_\mu^a] = V[J, A_\mu^a] - V_{\text{pert.}}[J, A_\mu^a = 0], \quad (21)$$

when expanded around  $A_\mu^a=0$ , can be made finite by the usual renormalization scheme at least in perturbation theory so it satisfies the r.g.e. We perform the usual renormalization after the scale change  $\sqrt{1+J} A_\mu = A_{\mu J}$  and  $g/\sqrt{1+J}=g_J$  so that  $\Delta V$  is a function of  $g_J$ ,  $A_{\mu J}$  and  $\mu$  and satisfies,

$$\left( \mu \frac{\partial}{\partial \mu} + \beta(g_J) \frac{\partial}{\partial g_J} - \gamma(g_J) \int A_{\mu J}^a(x) \frac{\delta}{\delta A_{\mu J}^a(x)} d^4x \right) \Delta V = 0. \quad (22)$$

Now we can easily see that  $A_{\mu J}^a \delta/\delta A_{\mu J}^a = A_\mu^a \delta/\delta A_\mu^a$  (see (60) below). Thus at the stationary point ( $\delta\Delta V/\delta A_\mu^a(x) = J_\mu^a(x) = 0$ ), provided a stationary point other than perturbative vacuum state exists,  $\Delta V$  is given by a non-trivial solution of

$$\left( \mu \frac{\partial}{\partial \mu} + \beta(g_J) \frac{\partial}{\partial g_J} \right) \Delta V(g_J, \mu) = 0, \quad (23)$$

where  $\Omega\Delta V(g_J, \mu) \equiv \Delta V[J, A_\mu^a] \Big|_{\delta\Delta V/\delta A_\mu^a = 0}$ .

The reason why we have introduced  $J_\mu^a$  or  $A_\mu^a$  is two fold. The first is, as explained above, to define the quantity which is finite after renormalization at least in perturbative sense. The second is that we want to introduce quarks in Sec. IV. In that case the equation to be solved is changed into  $\delta\Delta V/\delta A_\mu^a = J_\mu^a$ .

Equation (23) can be written down directly if we apply the argument given by Gross and Neveu<sup>11</sup> that any physical quantity should be independent of the renormalization point. Our  $\Delta V(g_J, \mu)$  is the difference of the energy density of non-perturbative (if it exists) and perturbative vacuum so it is expected to be a physical quantity. In this paper we assume that a nontrivial solution to (23) exists. The reason why we believe this has been given in the introduction.

Now we define  $\Delta\phi$  and  $\Delta\phi_c$  by

$$\Delta\phi = \frac{\partial \Delta V(g_J, \mu)}{\partial J} , \quad \Delta\phi_c = \Delta\phi \Big|_{J=0} . \quad (24)$$

which is the difference of the expectation value of  $-(1/\Omega)\hat{L} = (1/\Omega)(1/4)\int \hat{G}_{\mu\nu}^2(x) d^4x$  measured in the non-perturbative vacuum state and the perturbative vacuum state. In this sense we write

$$\Delta\phi = \frac{1}{\Omega} \langle \frac{1}{4} : \int \hat{G}_{\mu\nu}^2(x) d^4x : \rangle = \langle \frac{1}{4} : \hat{G}_{\mu\nu}^2(x) : \rangle . \quad (25)$$

From (16),  $\partial/\partial g_J = -2(g^2/g_J^3)\partial/\partial J$ , so that by (23),

$$\mu \frac{\partial}{\partial \mu} \Delta V(g, \mu) = 4\Delta V(g, \mu) = 2 \frac{\beta(g)}{g} \Delta\phi_c , \quad (26)$$

where the fact that  $\Delta V \propto \mu^4$  has been used. We restrict ourselves for small  $g$  where we know that  $\beta$  is negative,  $b_0 < 0$ .

From (26) we reach the following conclusion: non-perturbative magnetic condensating of  $\hat{G}_{\mu\nu}^2$  leads to a non-perturbative vacuum which has lower energy than the perturbative one.

By magnetic we mean  $\Delta\phi_c > 0$ , i.e.  $\Delta\phi_c = \langle \frac{1}{2}:\hat{H}^2 - \hat{E}^2: \rangle > 0$ . The sign of  $\Delta\phi_c$  will play an important role when quarks are introduced in Sec. IV and also agrees with the sign obtained by Shifman, Veinstein and Zakharov<sup>12</sup> from the analysis of their sum rules. The r.g.e. gives a definite relation (26) between the order parameter  $\Delta\phi$  and the energy density  $\Delta V$ , which is the case because the order parameter is the Lagrangian itself. This is not the case for other order parameters.

$\Delta V$  or  $\Delta\phi$  is complex in general reflecting the decay of the vacuum of higher energy. On this case we take the real part of (26).  $\Delta\phi$  or  $\Delta V$  behaves as  $e^{2/b_0 g^2}$  as  $g \rightarrow 0$ . The condensation occurs for arbitrarily small coupling so that the critical coupling constant  $g_c$  is zero.  $\Delta\phi$  satisfies the correct r.g.e. as is seen by applying  $\mu \frac{\partial}{\partial \mu} + \beta(g) \frac{\partial}{\partial g}$  to (26),

$$\left( \mu \frac{\partial}{\partial \mu} + \beta(g) \frac{\partial}{\partial g} - \gamma_G(g) \right) \Delta\phi = 0, \quad (26)'$$

with  $\gamma_G(g)$  given by (18).

We may define the effective potential  $\Delta V(\Delta\phi)$  of  $\Delta\phi$  by the Legendre transform of  $\Delta V(g_J, \mu)$ . But the J-dependent function has been subtracted so that the shape of  $\Delta V(\Delta\phi)$  has

not the usual meaning of the effective potential. However the quantities  $\Delta V(\Delta\phi) \Big|_{\partial\Delta V/\partial\Delta\phi = 0}$  and  $\Delta\phi_c$  has a definite meaning: the former is the energy difference of the two vacua and the latter is the difference of  $\langle 1/4 \hat{G}_{\mu\nu}^2 \rangle$  between the two vacua. It is easy to get  $\Delta V(\Delta\phi) = \Delta V(g_J, \mu) - J \partial\Delta V/\partial J$ , with  $\Delta\phi = \partial\Delta V(g_J, \mu)/\partial J$ . In the magnetic region  $\Delta\phi > 0$ , it takes the form,

$$\Delta V(\Delta\phi) = \frac{b_0 g^2}{2} \Delta\phi \left\{ C - \ln \left( \frac{-b_0 g^2}{\mu^4} \Delta\phi \right) + \frac{2}{b_0 g^2} \right\},$$

with  $C$  some finite constant. In Fig. 1, we plot  $\Delta V(\Delta\phi)$ , which has a physical interpretation only for small  $J$  as explained above.

In Appendix A, we discuss  $O(N)$   $\lambda\phi^4$  theory with negative renormalized  $\lambda$  in the large  $N$  limit.<sup>13</sup> To illustrate the trick we have used, that is to absorb  $J$ -dependence into the coupling constant, the condensation of the Lagrangian is discussed. There it is seen that the Lagrangian indeed condenses with the "magnetic" sign  $\langle -:\mathcal{L}: \rangle > 0$  in agreement with our result of QCD. We have also examined Gross-Neveu model,<sup>11</sup> the two dimensional massless four-Fermi interaction, in large  $N$  limit. This model again shows the non-perturbative condensation of the Lagrangian with "magnetic" sign.

Any type of condensation is surely an infrared effect. In the pairing approach,<sup>3,4</sup> we can see explicitly that it is

a dynamical effect of infrared gluons. In the present formal approach this point is not clear. However the masslessness of gluons is playing an essential role in the present discussions too: it makes the r.g.e. simple (homogeneous) and solvable by an elementary integration.

### III. THE TACHYONIC SINGULARITY

The purpose of this section is to show that the non-perturbative condensation of any composite operator implies the existence of tachyonic (spacelike) singularities in the relevant channel of the Green's functions calculated in the normal vacuum.

In Sec. II, the condensation of  $\hat{G}_{\mu\nu}^2$  has been discussed. It involves the color singlet  $J^P=0^+$  composite operators in  $\hat{A}_\mu^a$  up to fourth order. Naively we expect the appearance of  $0^+$  tachyonic singularities in color singlet channel of Green's functions if we calculate them in the normal vacuum. This problem has been discussed by Kugo<sup>14</sup> in the ladder approximation for a specific type of interaction. Generalizing his arguments, we discuss the problem to all orders for any type of interaction.

The Fourier components of any real boson field is denoted by  $\hat{\phi}_i(p)$ . Here the index  $i$  represents all the attributes of the field except for the momentum (Lorentz or internal group indices) and the Wick rotation in momentum space is assumed. We base our arguments on the effective action for composite operators up to fourth order derived by De Dominicis

and Martin<sup>15</sup> and investigate its eigen-spectrum around the stationary solution. It is known that the stationary equations are Schwinger-Dyson (S-D) equations and the eigen-value equations are B-S equations. We proceed step by step and discuss first the case of the two-body operator and then proceed to higher operators.

#### A. Two-Body Operator

Consider  $\langle \hat{\phi}_i(p) \hat{\phi}_j(q) \rangle = G_{ij}(p,q)$  and write the effective action  $\Gamma$  for  $G$ <sup>15</sup>

$$\Gamma(G) = -\frac{1}{2} \text{Tr} \ln G^{-1} G_0 - \frac{1}{2} \text{Tr} G G_0^{-1} + \Gamma^{(2)}(G), \quad (27)$$

where we have suppressed all the indices so that Tr is over indices (i,j) and the momentum. The indices are recovered whenever necessary.  $\Gamma^{(2)}$  in (27) is the two particle irreducible vacuum graph with G for the internal line.  $G_0$  is the free propagator. The stationary condition is the S-D equation for the propagator,

$$G^{-1} - G_0^{-1} = 2 \delta \Gamma^{(2)}(G) / \delta G. \quad (28)$$

Note that  $\delta \Gamma^{(2)} / \delta G$  represents the complete proper, i.e.

1 P.I., self-energy part. The solution of (28) is written as  $G_S$ . In order to discuss the stability of the solution  $G_S$ , we write  $G = G_S + \delta G$  and keep the term up to the second order in  $\delta G$ ,

$$\Gamma(G) \approx \Gamma(G_S) + \frac{1}{2} \delta G M \delta G, \quad (29)$$

$$M = - G_S^{-1} G_S^{-1} + K^{(2)}, \quad K^{(2)} \equiv \left. \frac{\delta^2 \Gamma(2)}{\delta G \delta G} \right|_{G=G_S}. \quad (30)$$

For the discussion of the eigen-spectrum of  $M$ , note that  $M$  is already diagonal in the total momentum  $P$  due to the translational invariance of the vacuum. Explicitly

$$\begin{aligned} \delta G M \delta G = \sum_{\substack{P, p, q, \\ ijmn}} \delta G_{ij} \left( \frac{P}{2} + p, \frac{P}{2} - p \right) M_{ij, mn}(P, p, q) \\ \times \delta G_{mn} \left( \frac{P}{2} + q, \frac{P}{2} - q \right). \end{aligned}$$

In order to diagonalize in the relative momenta and in the indices  $(i, j)$ , observe that  $K^{(2)}$  is nothing but the B-S kernel: it is the complete two particle irreducible connected four point Green's function with the internal line  $G_S$ . In the usual B-S equation we discuss the spectrum of the coupling constant rather than the spectrum of the total momentum (energy). So we introduce, following Kugo,<sup>14</sup> a coupling constant  $\lambda$  as a measure of the magnitude of the kernel  $K^{(2)}$ ,

$$K^{(2)} = \lambda \tilde{K}^{(2)}, \quad \tilde{K}^{(2)} = \frac{1}{\lambda} K^{(2)}. \quad (31)$$

$\lambda$  is assumed to be positive to give an attractive force. Now  $M$  in (30) can be diagonalized by the following B-S equation,

$$G_S^{-1} G_S^{-1} \chi_n = \lambda_n(P^2) \tilde{K}^{(2)} \chi_n. \quad (32)$$

The normalization of  $\chi_n$  and the orthogonal relations of  $\chi_n$  or of  $\hat{\chi}_n \equiv G_S^{-1} G_S^{-1} \chi_n$  are

$$\chi_n^+ G_S^{-1} G_S^{-1} \chi_m = \hat{\chi}_n^+ G_S G_S \hat{\chi}_m = \lambda / \lambda_n(P^2) \delta_{nm}. \quad (33)$$

$\hat{\chi}_n$  satisfies

$$\hat{\chi}_n = \lambda_n(P^2) \tilde{K}^{(2)} G_S G_S \hat{\chi}_n, \quad (34)$$

$$\hat{\chi}_n^+ \tilde{K}^{(2)-1} \hat{\chi}_m = \lambda \delta_{nm}. \quad (35)$$

The above normalization has been chosen because, in the massless theory in which we are interested, it is  $\hat{\chi}$  that has a finite value as  $P_\mu \rightarrow 0$ . Thus  $\lambda_n(P^2) G_S G_S \rightarrow 0(1)$  as  $P_\mu \rightarrow 0$ .

Expanding  $\delta G$  in normal modes

$$\begin{aligned} \delta G_{ij} \left( \frac{P}{2} + p, \frac{P}{2} - p \right) &= \sum A_n(P) \chi_{n,ij} \left( \frac{P}{2} + p, \frac{P}{2} - p \right) \frac{\lambda_n(P^2)}{\lambda}, \\ \delta G &= \sum_n A_n(P) G_S G_S \hat{\chi}_n \frac{\lambda_n(P^2)}{\lambda}, \end{aligned} \quad (36)$$

we get from (34) or (35),

$$\frac{1}{2} \delta G M \delta G = - \frac{1}{2} \sum_n A_n^+(P) \frac{\lambda_n(P^2) - \lambda}{\lambda} A_n(P). \quad (37)$$

The effective potential  $V$ , representing the vacuum energy density, is the negative of the  $P_\mu=0$  mode of the effective action so

$$V = - \Gamma(G_S) \Big|_{P=0} + \frac{1}{2} \sum_n A_n^+(0) \frac{\lambda_n(0) - \lambda}{\lambda} A_n(0). \quad (38)$$

The factor  $\lambda_n(P^2)/\lambda$  has been extracted in (36) so that  $A_n(P)$  is  $O(1)$  as  $P_\mu \rightarrow 0$ . For the solution  $G_S$  to represent the stable solution,  $\lambda_n(0)$  should satisfy

$$\lambda_n(0) - \lambda \geq 0 \quad (\text{for all } n), \quad (39)$$

which is guaranteed if the lowest solution  $\lambda_{n=0}$  satisfies

$$\lambda_{n=0}(0) - \lambda \geq 0. \quad (40)$$

The relation between (40) and the presence or absence of the tachyonic singularities has been discussed in Ref. 14. Here we consider the following problem which is our concern in this section. If we know that some two body operator shows non-perturbative condensation, can we conclude the existence of

tachyonic spectrum in  $\lambda_n(P^2)$  if we take the normal perturbative solution of the S-D equations?

Now we assume that a two-body operator  $\hat{O}^{(2)} = \sum C_{ij} \hat{\phi}_i \hat{\phi}_j$  shows the non-perturbative condensation. Here  $\hat{O}^{(2)}$  can be local or non-local. The effective potential  $V(O^{(2)})$  for  $O^{(2)} = \langle \hat{O}^{(2)} \rangle = \sum C_{ij} G_{ij}$  can be constructed from that of  $G_{ij}$  and the stationary value  $O_S^{(2)}$  is given by  $O_S^{(2)} = \sum C_{ij} (G_{ij})_S$ . We take the normal solution for  $G_S$  so that  $O_S^{(2)}$  does not realize a minimum of  $V(O^{(2)})$ . Suppose (39) is satisfied for all  $n$ .  $V(O^{(2)})$  is obtained from the effective potential  $V(G)$  if  $G$ 's are restricted in a particular direction in  $G$  space specified by  $C_{ij}$ . So  $O_S^{(2)}$  is a minimum solution of  $V(O^{(2)})$  because (39) tells us that in  $G$  space  $V(G)$  does not decrease in any direction around the solution  $G_S$ . We conclude

$$\lambda_{n=0}(0) - \lambda < 0. \quad (41)$$

In the next subsection the condensation of the composite operator  $\hat{O}^{(4)}$  of up to fourth order in the field is discussed. There we get the same condition (41) for the spectrum of the normal vacuum if  $\hat{O}^{(4)}$  condenses non-perturbatively. Taking this result in advance, we now discuss the case of QCD. The fact that (41) leads to the existence of the tachyonic bound state has been shown in Ref. 14 for the massive theory. Here we will see that it is also the case for QCD.

From Sec. II we know that  $\hat{G}_{\mu\nu}^2$  condenses for arbitrarily small coupling  $\lambda$ . (We identify  $\lambda$  in this subsection with  $g^2$  of QCD.) It means

$$\lambda_{n=0}(0) = 0. \quad (42)$$

The only assumption we need to prove the statement of this section is that as the coupling constant is increased the binding energy of the bound state is increased. There is no rigorous proof of this statement but any physically sensible solution to B-S equation is known to enjoy this property. Then as  $\lambda_n$  is increased  $P^2$  moves toward the spacelike region and from (41) we conclude that the trajectory  $\lambda_{n=0}(P^2)$  becomes tachyonic for  $\lambda_n > 0$ . In Fig.2 a schematic form of  $\lambda_n(P^2)$  is given. Setting  $\lambda_n = \lambda$  and  $n=0$  in (32) it is seen that for arbitrarily small coupling constant a tachyonic bound state is formed. It has the quantum numbers of color singlet and  $J^P=0^+$  which produces a spacelike pole in the normal Green's functions in the color singlet channel. In general it also has a branch point due to, for example, the contribution of the graph shown in Fig.3.

Thus we conclude that any Green's function evaluated in the normal vacuum has an imaginary part if all the external momenta are set equal to zero.

The asymptotic state of a normal gluon does not exist because it forms a color singlet tachyonic bound state and condenses in the vacuum simply because it is energetically favorable.

We mention here the result of the ladder calculations,<sup>4</sup> where the solution to the color singlet tachyonic bound state has been explicitly given. In this approximation the equation  $\lambda = \lambda_{n=0}(P^2)$  is shown to give  $P^2 = \Lambda^2 e^{-c/\lambda}$  ( $c > 0$ ) for small  $P^2$ , where  $\Lambda$  is the cut off and  $\lambda$  is identified with  $g^2$ . The tachyon bound state exists for arbitrarily small coupling constant. It agrees with the conclusion of this subsection and of Sec. II based on non-perturbative arguments.

#### B. Inclusion of Three and Four-Body Operators

We define, following De Dominicis and Martin,<sup>15</sup> the effective action  $\Gamma(G, C^{(3)}, C^{(4)})$  where

$$\begin{aligned} \langle \phi_i(p) \phi_j(q) \phi_k(r) \rangle &= G_{ii'}(p, p') G_{jj'}(q, q') G_{kk'}(r, r') \\ &\times C_{i'j'k'}^{(3)}(p', q', r'), \end{aligned} \quad (43)$$

$$\begin{aligned} \langle \phi_i(p) \phi_j(q) \phi_k(r) \phi_l(s) \rangle &= G_{ij}(p, q) G_{kl}(r, s) + \text{perm.} \\ &+ G_{ii'}(p, p') G_{jj'}(q, q') G_{kk'}(r, r') G_{ll'}(s, s') \\ &\times C_{i'j'k'l'}^{(4)}(p', q', r', s'). \end{aligned} \quad (44)$$

$C^{(3)}$  and  $C^{(4)}$  are the connected part of the Green's functions with external legs deleted.  $\Gamma$  has the following form as shown in Ref. 15,

$$\begin{aligned}
 \Gamma(G, C^{(3)}, C^{(4)}) &= -\frac{1}{2} \text{Tr} \ln G^{-1} G_0 - \frac{1}{2} \text{Tr} G G_0^{-1} \\
 &\quad - \frac{1}{3!} C_0^{(3)} G G G C^{(3)} - \frac{1}{2} \frac{1}{3!} C^{(3)} G G G C^{(3)} \\
 &\quad - \frac{1}{4!} C_0^{(4)} G G G G C^{(4)} - \frac{1}{2} \frac{1}{4!} C^{(4)} G G G G C^{(4)} \\
 &\quad + \Gamma^{(4)}(G, C^{(3)}, C^{(4)}), \tag{45}
 \end{aligned}$$

where  $C_0^{(3)}$  and  $C_0^{(4)}$  are the bare three and four particle vertices respectively.  $\Gamma^{(4)}$  represents essentially the four particle irreducible vacuum graph with internal line replaced by  $G$  and three and four particle vertices by  $C^{(3)}$  and  $C^{(4)}$  respectively.  $\Gamma^{(4)}$  contains extra diagrams to avoid multiple counting of the vacuum graph but we need not specify  $\Gamma^{(4)}$  explicitly. The stationary requirements  $\delta\Gamma^{(4)}/\delta G = \delta\Gamma^{(4)}/\delta C^{(3)} = \delta\Gamma^{(4)}/\delta C^{(4)} = 0$  reproduce S-D equations for  $G$ ,  $C^{(3)}$  and  $C^{(4)}$ , the solution of which we denote by  $G_S$ ,  $C_S^{(3)}$  and  $C_S^{(4)}$ . Writing  $G = G_S + \delta G$ ,  $C^{(3)} = C_S^{(3)} + \delta C^{(3)}$ ,  $C^{(4)} = C_S^{(4)} + \delta C^{(4)}$  and defining  $C_i = (G, C^{(3)}, C^{(4)})$ ,  $\delta C_i = (\delta G, \delta C^{(3)}, \delta C^{(4)})$  and  $C_{iS} = (G_S, C_S^{(3)}, C_S^{(4)})$  with  $i=1,2,3$ ,  $\Gamma$  becomes

$$\Gamma(C_i) \approx \Gamma(C_{iS}) + \frac{1}{2} \delta C_i M^{ij} \delta C_j. \tag{46}$$

Explicitly for example

$$M^{23} = \delta^2_{\Gamma} / \delta C^{(3)} \delta C^{(4)}$$

$$\begin{aligned} \delta C_2 M^{23} \delta C_3 &= \delta C_{ijk}^{(3)}(P, pq) M_{ijk, lmnv}^{(P, pq, rst)} \\ &\times \delta C_{lmnv}^{(4)}(P, rst). \end{aligned}$$

We have used the translational invariance of the vacuum and so (46) is already diagonal in P. For the diagonalization of (46), the following coupled 3x3 B-S equations are solved,

$$\hat{G} \chi_n = \lambda_n \tilde{K} \chi_n, \quad (47)$$

where  $\hat{G}$  is diagonal and  $\hat{G}_{11} = G_S^{-1} G_S^{-1}$ ,  $\hat{G}_{22} = G_S G_S G_S$  and  $\hat{G}_{33} = G_S G_S G_S G_S$ .  $\tilde{K}$  is given by  $\tilde{K}_{ij} = 1/\lambda \delta^2_{\Gamma^{(4)}} / \delta C_i \delta C_j \Big|_{C_i=C_{is}}$  and  $\chi_n = (\chi_n^{(1)}, \chi_n^{(2)}, \chi_n^{(3)})$ . We have introduced  $\tilde{K}$  which is related to M by  $M = -\hat{G} + \tilde{K}$ ,  $\tilde{K} = 1/\lambda K$ . The kernel K or  $\tilde{K}$  has the property of four particle irreducibility. (It is not irreducible in sense of Faddeev.<sup>16</sup>) The orthogonality relations are

$$\chi_n^+ \hat{G} \chi_m = \lambda / \lambda_n (P^2) \delta_{nm}. \quad (48)$$

As in the two body case we expand  $\delta C_i$  in  $\chi_n$

$$\delta C_i = \Sigma A_n(P) \chi_n^{(i)} \lambda_n(P^2) / \lambda. \quad (49)$$

Then (46) becomes

$$\Gamma(C_i) = \Gamma(C_{is}) + \frac{1}{2} \sum_n A_n^+ \left( \frac{\lambda - \lambda_n}{\lambda} \right) A_n.$$

For the effective potential  $V$  it becomes

$$V(C_i) = V(C_{is}) + \frac{1}{2} \sum A_n^+ \left( \frac{\lambda_n (P^2=0) - \lambda}{\lambda} \right) A_n, \quad (50)$$

so that the stability of the solution  $C_{is}$  requires (39).

Now suppose we know that a composite operator  $\hat{O}^{(4)}$  involving up to fourth order of the field  $\hat{\phi}_i$  shows the non-perturbative condensation. In terms of  $\hat{\phi}_i$ ,  $\hat{O}^{(4)}$  can be written as

$$\hat{O}^{(4)} = \sum a_{ij} \hat{\phi}_i \hat{\phi}_j + \sum a_{ijk} \hat{\phi}_i \hat{\phi}_j \hat{\phi}_k + \sum a_{ijkl} \hat{\phi}_i \hat{\phi}_j \hat{\phi}_k \hat{\phi}_l. \quad (51)$$

The effective potential  $V(O^{(4)})$  of  $O^{(4)} = \langle \hat{O}^{(4)} \rangle$ , which is a function of  $C_i$  by (51), can be obtained by  $V(C_i)$  of (50). In particular the stationary point  $O_S^{(4)}$  of  $V(O^{(4)})$  is determined by that of  $V(C_i)$ . The small oscillation of  $O^{(4)}$  around  $O_S^{(4)}$  can be written as a linear combination of the small oscillation of  $C_i$  around  $C_i = C_{is}$ . Thus if (39) holds  $O_S^{(4)}$  is a minimum point (at least locally) of  $V(O_S^{(4)})$ . Taking  $O_S^{(4)}$  as a normal solution, it leads to the contradiction so that (41) must hold.

#### IV. THE PRESENCE OF COLOR ELECTRIC FIELD

##### A. The Local Expansion

Up to now we have discussed the situation where color sources  $J_\mu^a$  are absent. In Sec. II, we have seen that for  $J_\mu^a = 0$  there are two kinds of vacua ( $J=0$ ) satisfying  $\Delta\phi=0$  or  $\Delta\phi = \Delta\phi_c > 0$ . The former solution corresponds to the normal perturbative vacuum which also satisfies  $A_\mu^a=0$ . (Recall the definition of  $\Delta\phi$  given in (24).) In this section the 'color electromagnetic' properties of the condensed vacuum satisfying  $\Delta\phi=\Delta\phi_c$  are discussed. The condensed non-perturbative vacuum is filled with gluons forming a color singlet composite states so that it will have a color electromagnetic property substantially different from the normal vacuum. For strong color electric field (near the source, i.e. quark) we know from perturbation theory that the vacuum has an antishielding property because of asymptotic freedom. For small electric field (away from the quark), non-perturbative condensation of  $\Delta\phi$  is expected to play an important role.

In the presence of color source, we need the effective action  $\Gamma(\phi, A_\mu)$  of two variables  $\phi$  and  $A_\mu$ , which is defined in the following way. (We neglect for the moment the renormalization problem and also write  $\phi$  instead of  $\Delta\phi$  for simplicity.) We introduce  $W(J, J_\mu)$  by

$$e^{i W(J, J_\mu)} = \int e^{-\frac{i}{4} \int d^4x (1+J(x)) G_{\mu\nu}^2(x) + i \int d^4x J_\mu^a(x) \hat{A}_\mu^a(x)} [d\hat{A}], \quad (52)$$

and  $\Gamma(\phi, A_\mu)$  by

$$\Gamma(\phi, A_\mu) = W(J, J_\mu) - \int d^4x J(x) \frac{\delta W}{\delta J(x)} - \int d^4x J_\mu^a(x) \frac{\delta W}{\delta J_\mu^a(x)},$$

$$\phi(x) = - \frac{\delta W}{\delta J(x)}, \quad A_\mu^a(x) = \frac{\delta W}{\delta J_\mu^a(x)}. \quad (53)$$

Because we are interested in long range phenomena, we need the expansion of  $\Gamma$  suitable for the study of the soft region. For this purpose  $\Gamma$  is first expanded around  $\phi=A_\mu^a=0$ , the coefficients being the Green's functions which is 1 P.I. in the field  $A_\mu$ . In order to obtain relevant series, these Green's functions are expanded around the zero momentum and then terms with the same number of powers of momenta are summed up. Each term of this expansion suffers from infrared divergences in perturbation theory so that only the sum has a meaning. The situation is the same as Coleman-Weinberg's<sup>17</sup> discussions on the massless  $\lambda\phi^4$  theory. As was pointed out by them, zero momentum expansion yields a local expansion in x-space.

The gauge we choose in this section is the background Lorentz gauge,<sup>18</sup>

$$D_\mu^{ab} A_\mu^b \equiv (\partial_\mu \delta^{ab} + gf^{abc} A_\mu^c) \hat{A}_\mu^{b'} = 0,$$

where  $\hat{A}_\mu^{b'}$  is the quantum part of the gauge field. In this gauge (with corresponding ghost interaction of course)  $\Gamma$  still has a local gauge invariance and we get a gauge invariant local expansion of  $\Gamma$ ,

$$\begin{aligned}
\Gamma(\phi, A_\mu) &= \int d^4x \Gamma^{(0,0)}(\phi(x), K_i(x)) \\
&+ \int d^4x \partial_\mu \phi \partial_\mu \phi(x) \Gamma^{(1,0)}(\phi(x), K_i(x)) \\
&+ \int d^4x D_\mu G_{\mu\nu} D_\mu G_{\mu\nu}(x) \Gamma^{(0,1)}(\phi(x), K_i(x)) \\
&+ \dots,
\end{aligned} \tag{54}$$

where  $K_i(x)$ 's are independent local invariants formed by  $A_\mu^a$ :  
 $K_i(x) = (G_{\mu\nu}^2(x), (G_{\mu\nu}^a G_{\mu\nu}^a)^2, \dots)$ . For SU(2) the number of  $K_i$   
is known to be nine<sup>19</sup> but we do not need their explicit form.  
In Sec. VI we discuss the validity of the expansion (54).

In the presence of quarks, the rule of calculating the effective action tells us that we should solve

$$\frac{\delta \Gamma}{\delta \phi(x)} = 0, \quad \frac{\delta \Gamma}{\delta A_\mu^a(x)} = j_\mu^a(x), \tag{55}$$

where  $j_\mu^a(x)$  represents the quark source, which we take to be

$$j_\mu^a(x) = \delta a \bar{a} \delta_{\mu 0} \rho(\vec{x}). \tag{56}$$

This means that quarks are assumed to be infinitely heavy and their direction in color space is specified by  $\delta a \bar{a}$ . Specifically we put the quark and antiquark at infinity, i.e.  $\rho(\vec{x}) = e \delta^2(x)$  ( $\delta(z-a) - \delta(z+a)$ ) with  $a \rightarrow \infty$ . Here  $e$  represents the charge of the

quark. In this case, equation (55) has a solution with  $A_\mu^a = \delta_{\mu 0} \delta_{a\bar{a}} A$ , so that  $G(x) \equiv -\frac{1}{4} G_{\mu\nu}^2 = \frac{1}{2} E^2 = \frac{1}{2} (\vec{\nabla}A)^2$  is non-zero while all other  $K_i$ 's vanish.

In the following the first term  $\Gamma^{(0,0)}$  is discussed with the above abelian configuration of  $A_\mu^a$ . We neglect other terms of (54) having higher derivatives, which is justified a posteriori; as we will see in the following,  $\Gamma^{(0,0)}$  gives us a flux tube solution. In the limit of an infinite vortex ( $a \rightarrow \infty$ ),  $E$  becomes  $(E_x, E_y, E_z) = (0, 0, E)$  with  $E$  constant throughout the whole space. For this solution the terms  $\Gamma^{(i,j)}$  with  $j \geq 1$  vanish and the terms  $\Gamma^{(i,0)}$  with  $i \geq 1$  contribute to the surface energy of the flux tube and give non-zero thickness to the skin region. To the extent that we neglect surface energy our solution becomes exact in the limit of an infinite tube.

The above procedure of taking only the term  $\Gamma^{(0,0)}$  can be reinterpreted as follows. We just calculate the effective potential  $\Gamma(\phi, A_\mu^a)$  with constant  $\phi$  and with static abelian form for  $A_\mu^a$  which gives constant electric field  $\vec{E} = \vec{\nabla}A$ . This involves no approximation and is calculated independently of the quarks. Now the quark and antiquark are introduced at spacial infinity. Then the flux configuration can fully be discussed in terms of the above  $\Gamma^{(0,0)}$ , apart from the contribution of the surface energy

The infinite flux tube is of course unrealistic because of  $q\bar{q}$  pair creation which we neglect in this paper. However as

for the gluon pair creation its effects are already included in the effective action  $\Gamma$  so we can discuss them in terms of c-number  $A_\mu^a$ . By taking abelian configuration of  $A_\mu^a$ , as is the case in this paper, it seems that we are missing the solution in which the color flux of the source is shielded by the gluonic color charge. As has been discussed in Ref. 20 the shielding charge due to gluons are gauge dependent and they can be gauged away: there is a gauge choice in which there is no gluonic charge. The elimination of the gluonic charge has been studied in explicit examples in Ref. 20. In this gauge, the quarks are the only sources of the color. The color content of the quark system is classified in this gauge, and we look for the abelian solution in this gauge.

There is still another complication due to the non-abelian character of quarks. This can easily be taken into account by changing  $\delta_{a\bar{a}}$  in (56) and in the solution  $A_\mu^a = \delta_{\mu 0} \delta_{a\bar{a}} A$  into  $\lambda^a/2$  where  $\lambda^a$  is the Gell-Mann matrix in the case of SU(3).

The only change in the final results is to replace the square of the quark charge  $e^2$  into  $e^2 \times \sum_a (\lambda^a/2)^2 = 4/3 e^2$ .

As the quark and anti-quark approach each other many terms in (54) begin to contribute and in the extremely opposite case, i.e., near the quark, the expansion (54) becomes a bad one because terms with more derivatives become more important than the terms with fewer derivatives.

B. The 'Color Electric' Property of the Condensed Vacuum

In order to discuss  $\Gamma^{(0,0)}$ , we can take the source  $J$  of  $\phi$  to be  $x$ -independent, i.e.,  $J(x)=J$ . Then as has been discussed in Sec. II, the  $J$  dependence can be absorbed into the coupling constant  $g$  and the field  $A_\mu^a$ . The quantity we discuss in the following is just  $V(J, A_\mu^a)$  of (20) or (21) in the static abelian configuration of  $A_\mu^a$  which gives a constant electric field. It is more convenient to work in the  $J$ -representation.

To be explicit we discuss here the process of absorbing  $J$ . Let  $J^0$ ,  $A_\mu^0$ ,  $g^0$  be unrenormalized quantities. The  $J^0$  dependence can be absorbed by the change  $\sqrt{1+J^0} A_\mu^{a0} = A_{\mu J}^{a0}$  and  $g^0/\sqrt{1+J^0} = g_J^0$ . In the background gauge, the renormalized  $J$ ,  $A_{\mu J}^a$  and  $g_J$  are defined by

$$A_{\mu J}^a = \left( \sqrt{Z(g_J, \frac{\Lambda}{\mu})} \right)^{-1} A_{\mu J}^{a0} = (\sqrt{Z})^{-1} \sqrt{1+J} Z_G(g, J, \frac{\Lambda}{\mu}) A_\mu^{a0}, \quad (57)$$

where  $g_J$  is defined by (10). So that

$$\begin{aligned} G_{\mu\nu J}^2 &\equiv \left( \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_J f^{abc} A_\mu^b A_\nu^c \right)^2 \\ &= \frac{1+J}{Z(g_J, \frac{\Lambda}{\mu})} Z_G \left( \partial_\mu A_\nu^{a0} - \partial_\nu A_\mu^{a0} + g^0 f^{abc} A_\mu^b A_\nu^c \right)^2, \end{aligned}$$

$$g_J A_{\mu J}^a = g^0 A_\mu^{a0}$$

and hence

$$g_J^2 G_J = g^2 G, \quad (58)$$

or

$$G_J = (1 + J)G. \quad (59)$$

More generally,

$$A_{\mu J}^a = \sqrt{1 + J} A_{\mu}^a. \quad (60)$$

We have defined  $G_J = -1/4 G_{\mu\nu J}^2$  and  $G = G_{J=0}$ .  $\Delta V$  of (21) is now  $\Delta V = \Delta V(g_J, G_J, \mu)$ . Note that for fixed  $J$ ,  $\Delta V(g_J, G_J, \mu)$  is the generating functional of 1 P.I. Green's functions evaluated at zero momenta.

Now we are in a position to discuss the color electrostatic properties of the vacuum. Because there is no source for  $\phi$  or  $\Delta\phi$ ,  $J$  can be set to zero after the calculation. When  $J=0$ ,  $\Delta\phi$  and  $G$  are not independent;  $\Delta\phi = \Delta\phi(G)$ . The dielectric constant is defined to be

$$\epsilon(G) = - \left. \frac{\partial \Delta V(g_J, G_J, \mu)}{\partial G} \right|_{J=0}, \quad (61)$$

so that  $\epsilon$  becomes a function of  $\Delta\phi$ . This relation tells us how the condensation  $\Delta\phi$  affects the dielectric constant  $\epsilon$ . To see the relation between  $\Delta\phi$  and  $\epsilon$ , we first note the renormalization group equation satisfied by  $\Delta V(g_J, G_J, \mu)$ ,

$$\left( \mu \frac{\partial}{\partial \mu} + \beta(g_J) \frac{\partial}{\partial g_J} - 2 \gamma(g_J) G_J \frac{\partial}{\partial G_J} \right) \Delta V = 0. \quad (62)$$

In the background gauge, it is known that

$$\gamma(g_J) = \frac{\beta(g_J)}{g_J} . \quad (63)$$

Then

$$\begin{aligned} \Delta\phi &= \frac{\partial\Delta V}{\partial J} & (64) \\ &= \frac{\partial G_J}{\partial J} \left( \frac{\partial\Delta V}{\partial G_J} + \frac{\partial g_J}{\partial J} \frac{\partial\Delta V}{\partial g_J} \right) \\ &= \frac{1}{1+J} \left( G_J \frac{\partial\Delta V}{\partial G_J} - \frac{g_J}{2} \frac{\partial\Delta V}{\partial g_J} \right) \\ &= \frac{1}{1+J} \frac{g_J}{2\beta(g_J)} \mu \frac{\partial}{\partial\mu} \Delta V \\ &= \frac{4}{1+J} \frac{g_J}{2\beta(g_J)} \left( 1 - G_J \frac{\partial}{\partial G_J} \right) \Delta V, & (64)' \end{aligned}$$

where we have used (62), (63) and the fact that  $\Delta V = \mu^4 F(g, G/\mu^4)$  with some function  $F$ . Equation (64) is exact in our configuration of the electric field. Putting  $J=0$  in (64)' and using (59) and (61),

$$\begin{aligned} \Delta\phi \Big|_{J=0} &= \frac{2g}{\beta(g)} \left( 1 - G \frac{\partial}{\partial G} \right) \Delta V \\ &= \frac{2g}{\beta(g)} (\Delta V + G\varepsilon) & (65) \end{aligned}$$

$$\underset{g \rightarrow 0}{\sim} \frac{2}{b_0 g^2} (\Delta V + G\varepsilon) . \quad (65)'$$

Equation (65) shows that  $\beta\Delta\phi/2g$  is the Legendre transform of  $\Delta V$ . By differentiating (65) by  $G$ ,

$$\frac{\partial\Delta\phi}{\partial G} = \frac{2g}{\beta(g)} G \frac{\partial\varepsilon}{\partial G}, \quad (66)$$

$$\widetilde{g \rightarrow 0} \frac{2}{b_0 g^2} G \frac{\partial\varepsilon}{\partial G}, \quad (66)'$$

at  $J=0$ . In what follows we need negative character of  $\beta(g)$  which is known to be correct at least for small  $g$ .

One can derive a closed equation satisfied by  $\Delta V_{J=0}$  which is given in Appendix B. There it is shown that the sourceless (stationary) condition  $J_\mu=0$  is satisfied by perturbative solution  $G=0$  or non-perturbative solution  $\varepsilon=0$ . Note that at these values the term  $G\varepsilon$  in (65) vanishes. On the other hand from Sec. II we know that at  $J_\mu=0$  there are two kinds of vacua satisfying  $\Delta\phi=0$  (normal, perturbative) or  $\Delta\phi=\Delta\phi_c > 0$  (condensed, non-perturbative). The main purpose of this subsection is to show that the condensed vacuum has the property of  $\varepsilon=0$ .

It is easy to see that the normal solution  $G=0$  satisfies (65)' and (66)' perturbatively and that  $\Delta\phi \rightarrow 0$  as  $G \rightarrow 0$ . Indeed up to the order  $g^2$ ,  $\varepsilon$  is given by

$$\text{Re } \varepsilon(G) = 1 - \frac{b_0}{2} g^2 \ln \frac{G}{\mu^4}, \quad (67)$$

$$\text{Im } \varepsilon(G) = -\pi \frac{b_0}{2} g^2, \quad (67)'$$

where Savvidi's renormalization condition<sup>21</sup>  $\text{Re } \epsilon(G=\mu^4)=1$  is adopted with the subtraction point taken in the electric region ( $G>0$ ).  $\Delta V$  is then

$$\begin{aligned} \Delta V &= - \int_0^G \epsilon(G) dG \\ &= - G \left( 1 - \frac{b_0}{2} g^2 - \frac{b_0}{2} g^2 \ln \frac{G}{\mu^4} \right) \\ &\quad + i\pi \frac{b_0}{2} g^2 G. \end{aligned} \tag{68}$$

On the other hand  $\Delta\phi = \langle 1/4 : \hat{G}_{\mu\nu}^2 : \rangle$  is given by the tree graph up to this order so that

$$\Delta\phi = G. \tag{69}$$

When the color electric field is applied to the normal vacuum, the response of  $\epsilon$ ,  $\Delta V$  and  $\Delta\phi$  is given by (67), (67)', (68) and (69). In particular as  $G$  goes to zero  $\Delta\phi$  and  $\Delta V$  vanishes as it should be. Equations (67), (67)', (68) and (69) are easily seen to satisfy (65)' and (66)'.

Now we look for the non-perturbative solution of (65)' or (66)'. In doing so the following observation should be made. The perturbative solution of  $\epsilon$  has an imaginary part corresponding to the fact that a pair of gluons is created out of the vacuum and runs away to infinity because the asymptotic states of gluons do exist in perturbation theory. But if we

include non-perturbative effects, gluons cannot be in the asymptotic states as we remarked in the end of Sec.IIIA. So that  $\epsilon$  should not have such an imaginary part for the physical branch of solution. We therefore classify the real solution of (66)', which has two types of solutions depending on the following two situations.

Case I), 
$$\frac{\partial \Delta\phi}{\partial G} \neq 0, \quad \frac{\partial \epsilon}{\partial G} \neq 0.$$

In this case  $G$  can be eliminated in favour of  $\epsilon$ .

$$\frac{\partial \Delta\phi}{\partial \epsilon} = \frac{2}{b_0 g^2} G(\epsilon). \quad (70)$$

In the presence of electric field ( $G > 0$ ),  $\frac{\partial \Delta\phi}{\partial \epsilon} < 0$ . This means that as  $\Delta\phi$  increases (recall that  $\Delta\phi > 0$  for the condensed solution)  $\epsilon$  decreases, which means that the condensation has an antishielding effect. It is simply because  $\Delta\phi$  is made up of gluons (in a color singlet composite state) which we know from perturbation theory possesses the antishielding property. Now from the r.g.e. we know<sup>21</sup>  $\epsilon(g, G) = \epsilon(\bar{g}(t), \mu^4) \times g^2 / \bar{g}^2(t)$ , with  $d\bar{g}/dt = \bar{\beta}(\bar{g})$ ,  $t = \ln G/\mu^4$  and

$$\bar{\beta} = \beta/(4+2\gamma), \quad \gamma(g) = \beta(g)/g. \quad (71)$$

Thus for large  $G$ , due to the asymptotic freedom we have  $\epsilon \sim \ln G/\mu^4$ . As  $G$  diminishes,  $\epsilon$  decreases while  $\Delta\phi$  increases along the trajectory shown in Fig. 4. The vacuum satisfies the sourceless condition: either  $G=0$  or  $\epsilon=0$ . At  $G=0$ , there is a solution

corresponding to the normal vacuum with  $\Delta\phi=0$  so that the trajectory passes through the point N in Fig. 4, where  $\varepsilon$  will be complex due to the presence of tachyonic singularities. The question is whether the condensed vacuum satisfying  $\Delta\phi=\Delta\phi_c$  is realized by  $G=0$  or by  $\varepsilon=0$ . Suppose it satisfies  $G=0$  as is shown by C in Fig. 4. This means that at  $G=0$  there are two vacua satisfying  $J=0$  so that  $\Delta V(g_J, G_J=0, \mu)$  is a two valued function of  $J$ . However  $\Delta V(g_J, G_J=0, \mu)$  satisfies r.g.e. (62), without the last term, i.e. (23), so that  $\Delta V = A\mu^4 \exp - 4 \int^{g_J} dt/\beta(x)$  with some constant A. At  $J=0$  there is a solution giving  $\Delta V=0$ . Thus  $A=0$  leading to  $\Delta V=0$ . Therefore  $G=0$  corresponds uniquely to the normal vacuum satisfying  $\Delta\phi=0$ . The same conclusion is obtained if  $\Delta\phi$  is considered as a function of  $J$  and  $G$ . At  $G=0$ ,  $\Delta\phi$  satisfies (26)' with the change  $g \rightarrow g_J$  from which we get  $\Delta\phi=0$ . The discussion in Sec. III therefore shows that if we expand the effective action  $\Gamma$  around  $A_\mu^a=0$  (or  $\Delta V$  around  $G=0$ ) corresponding to the normal vacuum, the tachyonic singularities are present in Green's functions.

The condensed solution  $\Delta\phi=\Delta\phi_c$  thus corresponds to the other solution  $\varepsilon=0$ : the condensed vacuum has the property of the perfect 'dielectrics'  $\varepsilon=0$ .

This leads to the crucial consequence of the flux squeezing. The solution  $\varepsilon=0$  leads to the relation  $\Delta\phi_c = (2/b_0 g^2) \Delta V$  as is required by (26). In Sec. V the same condition ( $\varepsilon=0$ ) is derived by the consistency of the phenomenological Lagrangian or by the stability of the non-perturbative vacuum.

There are two cases for the allowed trajectories of  $\varepsilon(G)$  as shown in Fig. 5a) and b). At some  $G_0$ ,  $\varepsilon$  vanishes ( $\varepsilon(G_0)=0$ ). It is easy to see that  $G_0$  cannot be magnetic in sign ( $G_0 < 0$ ). This is because  $\partial\Delta\phi/\partial\varepsilon > 0$  for  $G_0 < 0$  so that  $\Delta\phi$  cannot take the value  $\Delta\phi_c$  at  $G=G_0 < 0$ . Therefore  $G_0 \geq 0$ . More complicated trajectories are possible than those given in Fig. 5a) and b) but what we need in the following are  $\varepsilon \sim \ln G$  ( $G \rightarrow \infty$ ) and  $\varepsilon(G_0)=0$  at  $G_0 \geq 0$ .

We see from (66) that the applied color electric field partly breaks the tachyonic bound states which condense in the vacuum. This becomes clear if we adopt Savvidi's renormalization condition.<sup>21</sup> Then we have  $\varepsilon(g,G) = g^2/\bar{g}^2(t,g)$  where  $\bar{g}$  is governed by  $\bar{\beta}$  of (71) and  $t = \ln G/\mu^4$ . So that  $G \partial\varepsilon/\partial G = -2(g^2/\bar{g}^3)\bar{\beta}(\bar{g})$  which is positive in the region where  $\bar{\beta}$  is negative. It follows from (66) that  $\partial\Delta\phi/\partial G < 0$  which implies that if the color electric field is increased then  $\Delta\phi$  decreases.

Case II), 
$$\frac{\partial\Delta\phi}{\partial G} \equiv 0, \quad \frac{\partial\varepsilon}{\partial G} \equiv 0.$$

In this case  $\varepsilon(G)=C_1$  where  $C_1$  is a constant independent of  $G$ . It is easy to show (see Appendix B) that the equation  $\partial\Delta\phi/\partial G \equiv 0$  holds if and only if  $C_1=0$ , in which case  $\Delta\phi \equiv \Delta\phi_c$ . This solution is not analytically connected with the solution of the Case I). The fact that  $\varepsilon \equiv 0$  and  $\Delta\phi \equiv \Delta\phi_c$  can be solutions is physically understood as follows. If  $\varepsilon \equiv 0$  for any applied field  $G$ , the displacement  $D$  defined by  $D=\varepsilon E$  is identically zero. In Appendix B we show that it is  $D$ , not  $E$ , which acts as an effective source and couples to quantum fluctuations of the gluon fields. So in case  $D=0$ , tachyonic bound states which condense in the vacuum

are not affected by the applied electric field and  $\Delta\phi = \Delta\phi_c$  for any  $G$  so that the energy of the vacuum does not change in the presence of  $G$ . The solution  $\varepsilon \equiv 0$  is also shown in Fig. 5a) or b) which passes, of course, through the point  $G=0$ . This is also required by the Lorentz invariance of the vacuum state.

Note that in the Legendre transformed space, both case I) and case II) can be expressed as a single solution  $\Delta\phi = \Delta\phi(\varepsilon)$  which takes the value  $\Delta\phi_c$  at  $\varepsilon = 0$ .

Next we discuss the flux configuration for each of the two cases shown in Fig. 5a) and b). In Fig. 6a) and b),  $\mathcal{L}(G) \equiv -\Delta V(J=0, G)$  is shown, where we have defined  $\mathcal{L}=0$  for  $\Delta\phi = \Delta\phi_c$ .

### C. The Flux Configuration

We discuss the case corresponding to Fig. 5a) and b) separately.

a) The case where  $\epsilon(G)$  behaves like Fig. 5a) has been discussed by Callan, Dashen and Gross.<sup>8</sup> We give a brief argument to show that it leads to a tube like solution of color electric flux. We are discussing the situation where a static quark and antiquark of charge  $\pm e$  are introduced at  $z=\pm\infty$ . In the axially symmetric (about  $z$ -axis) solution, the electric field is directed along the  $z$  axis and the magnitude is constant over the whole space because the tangential component of the electric field is continuous. This is simply because we are discussing the situation where quark and antiquark are separated infinitely apart.

We calculate the energy per unit length of the vortex of the cross section  $\sigma$  and see if there is an optimal value of  $\sigma$ . If  $\sigma$  is infinite, the flux is not squeezed. The Hamiltonian is defined by

$$H = E \frac{\partial \mathcal{L}}{\partial E} - \mathcal{L} = ED - \mathcal{L},$$

where  $\mathcal{L}(E) \equiv -\Delta V (J=0, G)$ ,  $G = \frac{1}{2} E^2$  and the displacement is given by  $D = \partial \mathcal{L} / \partial E = \epsilon E$ . The flux is non-zero only for the

region where  $\epsilon \neq 0$  so we minimize the following  $H\sigma$  under the condition  $D\sigma = e$ ,

$$\begin{aligned} H\sigma &= (ED - \mathcal{L})\sigma \\ &= e\left(E - \frac{\mathcal{L}}{D}\right) \end{aligned} \quad ,$$

where  $E$  is a function of  $D$ . The optimal value of  $\sigma$  is given by

$$0 = \frac{\partial(H\sigma)}{\partial\sigma} = \frac{\partial D}{\partial\sigma} \frac{\partial(H\sigma)}{\partial D} = -\frac{e}{\sigma^2} \frac{\mathcal{L}}{(\mathcal{L}')^2} = -\frac{\mathcal{L}}{e}$$

Thus  $\mathcal{L}=0$  determining  $E$  or  $G$ , which is denoted by  $E_c$  or  $G_c$ .  $\sigma$  is determined through  $D\sigma = e$ . The equation  $\mathcal{L}=0$  is nothing but the Maxwell equal area rule as shown in Fig. 5a). The finite metastable branch (BB') is present. The structure of the tube is shown in Fig. 7. The color electric pressure in the region I is balanced by the condensation energy  $\Delta V$  (or the binding energy of gluons in the color singlet channel in the terminology of Sec. III) due to the discontinuity  $\delta\phi$  in the magnitude of the condensation at the surfaces. The discontinuity  $\delta\phi$  is easily seen to be given by

$$\delta\phi = (\Delta\phi)_1 - (\Delta\phi)_2 = (2/b_0 g^2) G_c \epsilon(G_c) < 0 \quad ,$$

where the points 1 and 2 are indicated in Figs 5a), 6a) and 7.

$E_c$  satisfies the r.g.e.

$$(1/E_c)\mu \frac{d}{d\mu} E_c = -\gamma(g), \quad \text{i.e.,} \quad E_c = \mu^2 \exp -\int^g \frac{2+\gamma(x)}{\beta(x)} dx \quad .$$

This is derived by noting that  $\mathcal{L}$  satisfies (62) with  $J=0$  and

has the form  $\mathcal{L}(t, g) = \mathcal{L}(t_0, \bar{g}(t, g)) \frac{g^2}{\bar{g}^2} G$ , with  $t = \ln E^2/\mu^4$ .

So  $\mathcal{L} = 0$  is realized for  $\bar{g} = c$  with  $c$  some numerical constant and hence  $\ln E_c^2/\mu^4 = \int_g^c dx/\bar{\beta}(x)$ . One can also derive

$(1/D_c)_\mu \frac{d}{d\mu} D_c = \gamma(g)$ . In the limit of a static quark,  $\sigma$  can be shown, using the above relations, to be renormalization point independent,  $\frac{d\sigma}{d\mu} = 0$ . If  $E_c = \infty$  then we get an infinitely thin flux tube, a string with  $\sigma = 0$ , which corresponds to infinite binding energy for the gluons.

b) The case given in Fig. 5 b) in Fig. 6 b) is the limit of the case a) where  $\varepsilon(G_c) \rightarrow 0$ . Then  $\sigma = e/D(G_c) = e/\varepsilon(G_c)E_c \rightarrow \infty$ ; the flux is not squeezed. In this case if we include the derivative terms of  $\phi$  in the local expansion (54), which we have neglected so far, they contribute to the surface energy and prevent the flux from spreading out. Let us consider the term  $\partial_\mu \phi \partial_\mu \phi$ . For small  $g$  this can be approximated by  $A \int d^4x (\dot{\phi}^2 - (\vec{\nabla}\phi)^2)$  with  $A = \Gamma^{(1,0)}(\phi=0, A_\mu=0)$ . If  $A > 0$  the energy is not bounded from below so that  $A$  should be negative. Neglecting the thickness of the skin, we are led to the problem of minimizing the following  $H\sigma$  under the condition  $D\sigma=e$ ,

$$\sigma H = \sigma \left( E \frac{\partial \mathcal{L}}{\partial E} - \mathcal{L} \right) + S\sqrt{\sigma}$$

where  $S\sqrt{\sigma}$  represents the surface energy with some positive constant  $S$ . It is easy to see that  $\sigma H$  is minimized by non-zero  $D$  or  $\sigma$ . In the present case flux squeezing is a combined effect of the condensation phenomenon (volume effect) and the surface effect. Surface energy, which is shown as  $E_s$  in Fig. 6 b), makes

it possible for  $\mathcal{L}$  to take a non-zero value inside the flux tube without destroying the mechanical equilibrium. By contrast, in the case a) flux squeezing is caused by the condensation phenomenon alone and the derivative terms  $\Gamma^{(i,0)}_{(i \geq 1)}$  provide the thickness to the skin of the flux tube.

#### D. The Mean Field Approximation

Up to this point, the arguments were formal. In order to get the trajectory  $\varepsilon(G)$ , we need some approximation which takes into account the effects of condensation. In this subsection an attempt is made to discuss  $\varepsilon(G)$  by the mean field type approximation, thereby in particular, determining which of the two cases given in Fig. 5a) and b) is realized. We define first the local color electric field in the color dielectric medium, which is done classically.

We make a cavity with the dielectric constant  $\varepsilon_0$  in the three dimensional dielectric vacuum which has the dielectric constant  $\varepsilon$ . If the electric field is supplied from the source, the electric field in the dielectric medium is defined to be the one in this cavity. If we take a spherical cavity,

$$A_{\mu}^{\text{local}} = f(\varepsilon, \varepsilon_0) A_{\mu} \quad , \quad (72)$$

with

$$f(\varepsilon, \varepsilon_0) = \frac{3\varepsilon}{2\varepsilon + \varepsilon_0} \quad (73)$$

We have denoted by  $A_{\mu}^{\text{local}}$  the potential in the dielectric medium. Equation (73) depends on the shape and the size

of the cavity but what we need in the following is the fact that  $A_\mu^{\text{local}}$  vanishes at  $\epsilon=0$  and  $A=0$  and this is independent of the shape. Now in the above approximation it is the local color electric field constructed from  $A_\mu^{\text{local}}$  that acts as an effective field in the medium. Our assumption here is that if we calculate the effective potential  $\hat{V}(A_\mu^{\text{local}})$  of  $A_\mu^{\text{local}}$  neglecting the condensation and then substitute (72) for  $A_\mu^{\text{local}}$  then it will be a good approximation to the effective potential  $V(A_\mu)$ ;  $V(A_\mu) \approx \hat{V}(A_\mu^{\text{local}})$ . We take the limit of constant electric field (in z direction) and because we are discussing the case  $J=0$ ,  $\epsilon$  is a function of  $G$ . Thus we are led to a non-linear self-consistency relation,

$$\epsilon(G) = - \frac{\partial V(G)}{\partial G} = - \frac{\partial G^{\text{local}}}{\partial G} \frac{\partial \hat{V}(G^{\text{local}})}{\partial G^{\text{local}}}, \quad (74)$$

where

$$G^{\text{local}} = - \frac{1}{4} (\partial_\mu A_\nu^{\text{local}} - \partial_\nu A_\mu^{\text{local}})^2 = f^2 G \quad (75)$$

$$- \frac{\partial \hat{V}}{\partial G^{\text{local}}} = \frac{g^2}{\bar{g}^2(t, g)} \quad (76)$$

Equation (76) has been given by Savvidi.<sup>21</sup> Here  $t = \ln G^{\text{local}} / \mu^4$ ,  $\int_2^{\bar{g}^2} dy / 2\beta(y) = t$ ,  $\beta(y) = g \bar{\beta}(g)$ ,  $y = g^2$  and  $\bar{\beta}$  is given by (71).

The renormalization is performed with respect to the local field. With (72), (73), (75) and (76), we see that (74) has the following two types of solution, corresponding to the two cases in Sec. IVB.

- i)  $\epsilon(G) \equiv 0$ . This corresponds to the case II) in Sec. IVB.

$$\text{ii) } G \frac{\partial \epsilon}{\partial G} = \left\{ \frac{\bar{g}^2(t, g)}{g^2} - \frac{9\epsilon}{(2\epsilon + \epsilon_0)^2} \right\} \times \frac{(2\epsilon + \epsilon_0)^3}{18 \epsilon_0} \quad (77)$$

The second solution (77) corresponds to the case I) in Sec.IVB. To discuss the latter solution we need  $\bar{g}$  which is governed by  $\beta$ .<sup>23</sup> Now we know from Sec. III that the effective potential  $V(G)$ , when expanded around  $G=0$ , has an imaginary part due to tachyonic singularities in its expansion coefficients. This leads to a condition on  $\beta$  such that  $\beta(y)$  has no zero in the region  $0 < y < \infty$  and that  $\int^{\infty} dx/\beta(x)$  is finite. The reason is that if the above conditions on  $\beta$  do not hold  $\partial V/\partial G$ , for example, has no singularities in  $0 < G < \infty$  and is real when  $G$  approaches zero.

With the above behavior of  $\beta$ , it is easy to analyse the non-linear equation (77) by the phase space method. For large  $G$ ,  $\epsilon \times \ln G$ . At some finite  $G(=G_c)$ ,  $\bar{g}^2 = \infty$  where  $d\epsilon/dG = +\infty$ , and  $\epsilon$  is finite ( $\epsilon = \epsilon_c$ ), suggesting that the solution realizes the curve of Fig. 5a). As  $\epsilon \rightarrow 0$ ,  $\bar{g}$  approaches the infrared fixed point of  $\beta$ . Because  $\beta(y)$  has no zero in the region  $0 < y < \infty$ ,

$$G \frac{\partial \epsilon}{\partial G} \Big|_{\epsilon=0}$$

cannot be real and positive, thus excluding the case of Fig. 5b).

The unstable branch, corresponding to (BC) in Fig. 5a), depends on the behavior of  $\beta(y)$  away from the real positive  $y$  axis. (if we can use (77) at all in the region where  $\bar{g}^2$  is not real and positive.) In general the branch (BC) will be complex because it is unphysical anyway;  $\text{Re } \frac{\partial \epsilon}{\partial G} > 0$ . The

imaginary part integrates automatically to zero along BC;

$\int_{BC} dG \operatorname{Im} \epsilon(G) = 0$ . Therefore the Maxwell rule still holds.

For the unphysical branch, many cases can occur as shown in Fig. 8. The trajectory  $(BC_1)$  corresponds to the case where  $\bar{g}^2 \rightarrow 0_-$  as  $\epsilon \rightarrow 0$ . Note that  $y=0_-$  is an infrared fixed point of  $\beta(y)$ . Near the point  $C_1$  the solution behaves like  $\partial\epsilon/\partial G = A/\ln \epsilon$  with  $A = \epsilon_0^2 / (4b_0 g^2)$ . Different trajectories of Fig. 8 give different sizes of the metastable region but they all lead to the flux tube solution.

Our mean field approximation suggests the case shown in Fig. 5a). We should discuss the accuracy of our approximation which is not given in this paper. The essential requirements to have a tube like solution in the approximation of neglecting the surface energy term, are that the solution  $\epsilon \equiv 0$  exists and that  $V(G)$  has a singularity at  $G = C_3 > 0$  at which  $\epsilon = \partial V / \partial G$  is finite. These facts are independent of the detailed form of  $f(\epsilon, \epsilon_0)$  or of  $\beta(y)$  and are determined solely by the fact that  $f(0, \epsilon_0) = 0$ .

## V. COMPARISON WITH THE PREVIOUS APPROACH

We first recapitulate the arguments<sup>7</sup> which lead to the phenomenological Lagrangian proposed by Kogut-Susskind<sup>24</sup> and 't Hooft<sup>25</sup>. Let  $\phi(x)$  denote the color singlet  $J^P=0^+$  tachyonic state. It can be either a paired bound state or  $\langle \frac{1}{4} \hat{G}_{\mu\nu}^2(x) \rangle$ . In our previous approach it represents the pairing field in which case it can explicitly be seen that the dominant constituents of  $\phi(x)$  are infrared soft gluons. The QCD Lagrangian  $\mathcal{L}$  now contains two different dynamical degrees of freedom  $\phi$  and  $A_\mu^a$  and it is expanded first in  $A_\mu^a$ , taking the simplest possible terms consistent with the gauge invariance,

$$\mathcal{L}(\hat{\phi}, \hat{A}_\mu^a) = - \frac{1}{2} \partial_\mu \hat{\phi} \partial_\mu \hat{\phi} - V(\hat{\phi}) - \frac{1}{4} \epsilon(\hat{\phi}) \hat{G}_{\mu\nu}^2 \quad . \quad (78)$$

The potential  $V(\phi)$  is shown in Fig. 9 and represents the condensation of  $\phi$ . The vacuum satisfies  $\langle \hat{\phi} \rangle = \phi_c$ ,  $\langle \hat{A}_\mu^a \rangle = 0$ . The sign of  $\phi_c$  cannot be determined here in contrast to the discussion in this paper (Sec. II).

For the condensed vacuum to be stable against the fluctuation around  $\phi = \phi_c$ ,  $A_\mu^a = 0$ ,  $\epsilon(\phi)$  must satisfy certain conditions. First, if  $\epsilon(\phi_c) \neq 0$ , the fluctuation of  $\hat{A}_\mu^a$ , due to the term  $-\frac{1}{4} \epsilon(\phi_c) \hat{G}_{\mu\nu}^2$ , produces tachyon bound states again and condensation proceeds still further. But this is inconsistent so  $\epsilon(\phi_c) = 0$ . In other words such an effect renormalizes  $V(\phi)$  and after the renormalization  $\epsilon(\phi_c)$  should vanish. We assume for simplicity

$$\varepsilon(\phi) \underset{\phi \sim \phi_c}{\sim} a \left( \frac{\phi_c - \phi}{\phi_c} \right)^{2\alpha}, \quad (79)$$

with  $\alpha, a > 0$ . Next we consider the small change in  $\phi, \phi = \phi_c + \Delta\phi$ . Then the change in the energy density  $\Delta E$  can be estimated as follows,

$$\Delta E \sim \frac{1}{2} V''(\phi_c) (\Delta\phi)^2 - a \left( \frac{\Delta\phi}{\phi_c} \right)^{2\alpha} B. \quad (80)$$

The term  $-\frac{1}{4} \hat{G}_{\mu\nu}^2$  contributes to  $\Delta E$  by an amount  $-B$  which is the energy density gained by condensing  $\hat{\phi}$  up to the value  $\langle \hat{\phi} \rangle = \phi_c$ . Note that the term  $\hat{G}_{\mu\nu}^{a2}$  in (78) can be understood as  $:\hat{G}_{\mu\nu}^{a2}: = \hat{G}_{\mu\nu}^{a2} - \langle 0 | \hat{G}_{\mu\nu}^{a2} | 0 \rangle$  without the loss of generality. Here  $|0\rangle$  represents the normal vacuum. Thus for  $\Delta E$  to be positive,  $\alpha$  should satisfy

$$\alpha \geq 1. \quad (81)$$

The condition (81) is also the condition for avoiding double counting, which says that after extracting  $\phi$ , gluon fields  $A_\mu^a$  should not form  $\phi$  any more. Indeed if (81) is satisfied gluons cannot produce a tachyonic bound state  $\phi$  because its condensation is energetically unfavorable. Now  $\mathcal{L}(\phi, A_\mu^a)$  in (78), with (81) understood, is regarded as a c-number effective Lagrangian with the hope the  $\phi$  represents the dominant quantum effects of QCD.

The condition (81) is known<sup>24,25,7</sup> to guarantee the flux tube solution when quarks are introduced.

To compare  $\mathcal{L}(\phi, A_\mu^a)$  with the results of this paper we first neglect the term  $\partial_\mu \phi \partial_\mu \phi$  and eliminate  $\phi$  by the equation of motion,

$$-\frac{1}{4} \varepsilon'(\phi) G_{\mu\nu}^2 - V'(\phi) = 0. \quad (82)$$

Solving (82) to give  $\phi=\phi(G)$  and taking the abelian configuration,  $\mathcal{L}$  is expressed by  $G \equiv -\frac{1}{4}G_{\mu\nu}^2$ , which is compared with  $\Delta V(G)$  of Sec. IV. We have two types of solutions of (82). The one is  $\phi \equiv \phi_c$ ,  $\varepsilon \equiv 0$ , which corresponds to the case II) of Sec. IVB. The other solution  $G = V'(\phi)/\varepsilon'(\phi)$  gives the trajectories of Case I). If  $\alpha > 1$ , we get the trajectories of Fig. 5 a) with the point C at infinity ( $G_0 = \infty$ ). For the case  $\alpha = 1$ , we define

$$\beta \equiv \lim_{\phi \rightarrow \phi_c} \frac{d}{d\phi} G = \lim_{\phi \rightarrow \phi_c} \frac{d}{d\phi} \frac{V'(\phi)}{\varepsilon'(\phi)} = \frac{V'''(\phi_c)\varepsilon''(\phi_c) - V''(\phi_c)\varepsilon'''(\phi_c)}{2\varepsilon''(\phi_c)^2} .$$

For  $\beta > 0$ , the case of Fig. 5 a) is realized and for  $\beta < 0$ , Fig. 5 b). See Fig. 10 for various cases.

The condition (81) on  $\alpha$  can also be derived by regarding  $\mathcal{L}(\phi(G), G)$  as a local approximation to the effective action. Then we know that  $\varepsilon(\phi(G))$  is complex at  $G=0$ , which is the case if and only if (81) holds. (As  $G \rightarrow 0$ ,  $\phi$  should tend to the normal value zero so that  $V'(\phi)/\varepsilon'(\phi)$  is required to approach zero as  $\phi \rightarrow 0$ .)

We have neglected the term  $\partial_\mu \phi \partial_\mu \phi$ . As has been pointed out in Sec. IVC, in the case  $\alpha = 1$  and  $\beta < 0$  the tube like solution is realized by the combined effects of the kinetic term  $\partial_\mu \phi \partial_\mu \phi$  and condensation energy.

We have obtained the solution  $\varepsilon \equiv 0$  by equation of motion for  $\phi$  which is not the case in Sec. IV. This is due to the approximation taken in (78).

Summarizing, the phenomenological Lagrangian (78) leads to qualitatively the same physical picture of the condensed vacuum and

its stability conditions as discussed in Sec. IV.

## VI. DISCUSSIONS

The assumptions we have made are that (23) has a non-trivial solution and that the effective action  $\Gamma$  has a gauge invariant local expansion (54). The latter assumption can be verified, of course, perturbatively but it automatically excludes the possibility of a massive phase where gluons acquire equal mass. Naively we expect that, if the condensation is understood as pairing in the color singlet channel, the gluons become massive in the stable phase. Indeed we have discussed previously the color singlet condensation in terms of pairing, by studying the formation of the Cooper pair<sup>3</sup> and by performing a variational calculation of the vacuum energy by means of Bogoliubov transformation<sup>3</sup> and we were led to the massive phase. The same problem was discussed covariantly by solving the B-S equation for the tachyonic bound state<sup>4</sup> and by adopting the two loop approximation for the effective potential.<sup>26</sup> The B-S equation for the color octet Goldstone mode has been discussed by J. Smit.<sup>27</sup> They all led us to the massive phase. But as long as the color singlet condensation is discussed in terms of pairing, we cannot study the problem gauge invariantly so that it is not known whether the mass thus generated is produced by a dynamical effect of condensation or simply by the reason that we have taken a gauge non-invariant approximation.

This is the reason why we have adopted  $\hat{G}_{\mu\nu}^2$  to measure the color singlet condensation. With the condensation of  $\hat{G}_{\mu\nu}^2$  it is rather hard to imagine the mechanism of mass generation. In this way we are led to assume the gauge invariant local expansion (54), that is, we are looking for the stable ground state in which gluons do not acquire mass after the condensation of  $\hat{G}_{\mu\nu}^2$ . This imposed the condition  $\epsilon=0$  on the stable vacuum because the normal solution  $G=0$  corresponds to the unstable vacuum. If the massive solution is allowed the solution  $G=0$  can be a solution corresponding to the massive stable vacuum. We can clearly see this situation if the term proportional to  $(A_\mu^a)^2$  is added to (54) or to (78). Then the condition  $\epsilon=0$  does not follow from the stability requirement. Also in the example of  $\lambda\phi^4$  discussed in Appendix A we have two phases at  $\phi=0$ , one is the normal massless vacuum and the other is the massive stable vacuum. The exclusion of the massive phase in QCD is equivalent to the exclusion of the possibility of the formation of the octet Goldstone bosons which supply longitudinal components to the gluons. We do not yet have the answer to the question whether or not the massive phase is one of the solutions to QCD.

We have also assumed implicitly that  $\epsilon$  is not negative. This is required in order for the theory to give any sensible answer: if  $\epsilon$  is negative the energy of the ground state of QCD is not bounded from below so that there is not stable vacuum. There is however at the moment no rigorous proof of the non-negativeness of  $\epsilon$ . The same remark applies to the coefficient  $\Gamma^{(1,0)}$  of the  $\partial_\mu\phi\partial_\mu\phi$  term in (54).

In this paper only the case of infinite separation of  $q\bar{q}$  is discussed. When the separation becomes finite, the approach based on some phenomenological consideration will probably be more effective rather than the approach taking more and more terms of (54) into account.

It is apparent that our picture discussed in this paper is very much similar to the one presented by Callan, Dashen and Gross<sup>8</sup> and hence to MIT bag model<sup>9</sup>. The basic difference is that our discussion is based on the interaction among ordinary gluons, not on the classical solution like instantons, which leads to the formation of the tachyonic bound state and makes the normal vacuum unstable. This forces us to choose the solution  $\epsilon=0$  for the condensed stable vacuum.

We have discussed the condensation of  $\hat{G}_{\mu\nu}^2$  and its physical effects. There are of course infinitely many gauge invariant operators such as  $D_\mu \hat{G}_{\mu\nu} D_\mu \hat{G}_{\mu'\nu'}$ , etc.<sup>28</sup> or even non-local gauge invariant operators. Our conclusions here will not be modified if these operators are shown to exhibit non-trivial condensations since our arguments are based on the instability of the normal vacuum. We do not know however at the moment how we can discuss the condensation of these complicated operators.

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APPENDIX A: THE CONDENSATION OF THE LAGRANGIAN IN  $\lambda\phi^4$  THEORY.

As a solvable example we consider the condensation of the Lagrangian in  $O(N)$   $\lambda\phi^4$  theory in the large  $N$  limit.<sup>13</sup> Its condensation is rather trivial as we shall see below, but the purpose of this Appendix is to show how the trick of absorbing  $J$  into the coupling constant and field operators works. The Lagrangian is

$$\hat{\mathcal{L}} = -\frac{1}{2}\partial_\mu\hat{\phi}^i\partial_\mu\hat{\phi}^i - \frac{1}{2}m_0^2\hat{\phi}^2 - \frac{\lambda_0}{8N}(\hat{\phi}^2)^2, \quad (A1)$$

where

$$\hat{\phi}^2 = \sum_{i=1}^N \hat{\phi}^i\hat{\phi}^i.$$

$\hat{\mathcal{L}}$  is the equivalent to

$$\begin{aligned} \hat{\mathcal{L}}(\hat{\phi}, \hat{\chi}) &= \hat{\mathcal{L}} + \frac{\lambda_0}{8N} \left( \frac{2N}{\lambda_0} \hat{\chi} - \hat{\phi}^2 - \frac{2}{\lambda_0} m_0^2 \right)^2 - i\delta^4(0)\ln\lambda_0 \\ &= -\frac{1}{2}\partial_\mu\hat{\phi}^i\partial_\mu\hat{\phi}^i + \frac{N}{2\lambda_0} \hat{\chi}^2 - \frac{1}{2}\hat{\chi}\hat{\phi}^2 - \frac{Nm_0^2}{\lambda_0} \hat{\chi} - i\delta^4(0)\ln\lambda_0. \end{aligned} \quad (A2)$$

We must keep the  $\ln\lambda_0$  term in the following discussions. The effective potential  $V$  to leading order in  $1/N$  is

$$V(\phi, \chi) = -\frac{N}{2}\chi^2 + \frac{1}{2}\chi\phi^2 + \frac{Nm_0^2}{\lambda_0} \chi + \frac{1}{2}N \int \frac{d^4k}{(2\pi)^4} \ln(k^2 + \chi). \quad (A3)$$

The mass the coupling constant renormalization are introduced

$$\frac{m^2}{\lambda} = \frac{m_0^2}{\lambda_0} + \frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \frac{1}{k^2}, \quad (A4)$$

$$\frac{1}{\lambda} = \frac{1}{\lambda_0} + \frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \frac{1}{(k^2 + \mu^2)^2}, \quad (A5)$$

corresponding to the renormalization conditions

$$\left. \partial V / \partial \chi \right|_{\phi=0, \chi=0} = Nm^2 / \lambda, \quad (A6)$$

$$\left. \partial^2 V / \partial \chi^2 \right|_{\phi=0, \chi=\mu} = -N / \lambda, \quad (A7)$$

respectively. Then  $V(\phi, \chi)$  becomes

$$V(\phi, \chi) = -\frac{1}{2} \frac{N}{\lambda} \chi^2 + \frac{1}{2} \phi^2 \chi + \frac{Nm^2}{\lambda} \chi + \frac{N}{64\pi^2} \chi^2 (\ln(\chi/\mu^2) - 3/2) - i \delta^4(0) \ln \lambda_0. \quad (A8)$$

In the following we consider only the case  $m=0$  because in that case calculations can be done explicitly. We take also  $\lambda < 0$  because the theory is asymptotically free for this choice and the attractive force is present among  $\phi$  so that we expect a dynamical rearrangement of the vacuum. (For the discussion on the sign of renormalized  $\lambda$  in  $O(N)$  model see Ref. 13.) Indeed it is known<sup>13</sup> that the absolute minimum of  $V(\phi, \chi)$  is realized by

$$\phi^i = 0, \quad \chi = \chi_c = \mu^2 e^{1 + \frac{32\pi^2}{\lambda}}, \quad (A9)$$

which shows the pair condensation for the true vacuum. We expect that the condensation of the Lagrangian occurs at the same time because  $\mathcal{L}$  contains the term  $\chi^2$ . To see this is indeed the case, the source  $J$  is introduced and  $\mathcal{L}$  is changed to  $(1+J)\mathcal{L}$ . Then we renormalize  $J$  according to  $J \rightarrow JZ_{\mathcal{L}}$ . The relation (10) becomes

$$1 + JZ_{\mathcal{L}}(\lambda, J, \Lambda/\mu) = \frac{\lambda Z(\lambda_J, \Lambda/\mu)}{\lambda_J Z(\lambda, \Lambda/\mu)}. \quad (A10)$$

From (A5)

$$Z(\lambda, \Lambda/\mu) = 1 - \frac{\lambda}{2} K\left(\frac{\Lambda}{\mu}\right) \quad (\text{A11})$$

with

$$K\left(\frac{\Lambda}{\mu}\right) = \int \frac{d^4 k}{(2\pi)^4} \frac{1}{(k^2 + \mu^2)^2} .$$

So

$$1 + JZ_{\mathcal{L}} = \frac{\frac{1}{\lambda_J} - \frac{1}{2} K\left(\frac{\Lambda}{\mu}\right)}{\frac{1}{\lambda} - \frac{1}{2} K\left(\frac{\Lambda}{\mu}\right)} . \quad (\text{A12})$$

Because the  $1/N$  limit picks up one loop graphs, the arguments following (12) suggest that the  $J$  independent renormalization of  $\mathcal{L}$  may work. Indeed from (A12),

$$\begin{aligned} \lambda_J &= \frac{\lambda}{1+J} , \\ Z_{\mathcal{L}}^{-1} &= 1 - \frac{\lambda}{2} K\left(\frac{\Lambda}{\mu}\right) . \end{aligned} \quad (\text{A13})$$

The anomalous dimension of  $\mathcal{L}$  is given by

$$\begin{aligned} \gamma_{\mathcal{L}}(\lambda) &\equiv Z_{\mathcal{L}}^{-1} \mu \frac{dZ_{\mathcal{L}}}{d\mu} \\ &= - \frac{\lambda}{16\pi^2} > 0 \end{aligned} \quad (\text{A14})$$

Now we discuss the condensation of  $\mathcal{L}$ . In the  $1/N$  limit, the subtraction term corresponding to  $V_{\text{pert.}}[J, A_{\mu}^a=0]$  in (21) is given by  $V(J, \phi=0, \chi=0)$ .  $\Delta V$  is obtained by (A8) with the replacement  $\lambda \rightarrow \lambda_J$  and  $\phi \rightarrow \phi_J = \sqrt{1+J}\phi$  while  $\chi$  (and  $m_0^2$ ) are independent of  $J$ . Then the expectation value of  $:\hat{\mathcal{L}}:$  is

$$\begin{aligned} \Delta\phi &\equiv \langle -:\hat{\mathcal{L}}: \rangle_J = \frac{\partial \Delta V(\phi_J, \chi, \lambda_J, \mu)}{\partial J} \\ &= - \frac{N}{2} \chi^2 \frac{1}{\lambda} + \chi \phi^2 . \end{aligned} \quad (\text{A15})$$

where (A13) has been used. (We use the symbol  $\Delta\phi$  and  $\phi$  for different quantities.) There is no J dependence in (A15). This is because in the large N limit  $\Delta\phi, \chi$  and  $\phi$  are not independent because essentially only one loop graphs are included. The true vacuum satisfies (A9) so that  $\Delta\phi$  takes the value

$$\Delta\phi = -\frac{N}{2} \chi_c^2 \frac{1}{\lambda} \quad , \quad (A15)$$

which is positive ("magnetic") as in the QCD case. But in  $\lambda\phi^4$  case (A15) shows that if we define connected part by  $-\langle:\mathcal{L}:\rangle_c \equiv \Delta\phi + \frac{N}{2\lambda} \chi_c^2 - \frac{1}{2}\chi\phi^2$  then  $\langle:\mathcal{L}:\rangle_c = 0$  which means that the genuine condensation of the Lagrangian does not occur in the large N limit. With (A9) and (A14)  $\Delta\phi$  is shown to satisfy

$$\left( \mu \frac{\partial}{\partial \mu} + \beta(\lambda) \frac{\partial}{\partial \lambda} - \gamma_{\mathcal{L}}(\lambda) \right) \Delta\phi = 0 \quad ,$$

where we have used

$$\mu \frac{d\lambda}{d\mu} = \beta(\lambda) = \frac{\lambda^2}{16\pi^2} \quad (A16)$$

derived from (A11). The effective potential of  $\Delta\phi$  can be constructed if we restrict ourselves to the case  $\phi = 0$  which satisfies  $\partial\Delta V(\phi_J, \chi, \lambda_J)/\partial\phi = 0$ . Then (A15) is used to calculate

$$\begin{aligned} \Delta V(\Delta\phi) &= \Delta V(\chi, \lambda_J) - J\partial\Delta V/\Delta J \\ &= \Delta\phi \left\{ 1 + \frac{\lambda}{64\pi^2} - \frac{\lambda}{64\pi^2} \ln\left(\frac{-2\lambda\Delta\phi}{N\mu^4}\right) \right\} \quad . \quad (A17) \end{aligned}$$

$\Delta V(\Delta\phi)$  of (A17) shows the similar behavior as given in Fig. 1. The minimum value of  $\Delta V$  is

$$\Delta V \Big|_{\partial\Delta V/\partial\Delta\phi = 0} = -\frac{N}{128\pi^2} \mu^4 e^{2 + \frac{64\pi^2}{\lambda}} \quad , \quad (A18)$$

which satisfies (26) at the minimum point,

$$4\Delta V = \frac{\beta(\lambda)}{\lambda} \Delta\phi \quad . \quad (A19)$$

$\Delta V$  and  $\Delta\phi$  are real in the large  $N$  limit and the difference of the factor 2 between (26) and (A19) comes from the difference of (16) and (A13).

The Gross-Neveu model<sup>11</sup> can be discussed exactly parallel with the  $\lambda\phi^4$  case and the Lagrangian condenses with "magnetic" sign:  $\langle \hat{\mathcal{L}} \rangle < 0$ . Both theories show tachyonic bound state poles (not cuts in the large  $N$  limit) if we take the normal vacuum. These are examples of the theorem proved in Sec. III.

#### APPENDIX B: A CLOSED EQUATION FOR $\Delta V$

The total effective action  $\Gamma(A_\mu^a)$  is known to satisfy the following equation ( $J$  is set to zero),

$$\Gamma(A^a) = \frac{1}{i} \ln \int e^{i \int \hat{\mathcal{L}}(x) d^4x + i \int d^4x \frac{\delta \Gamma}{\delta A_\mu^a(x)} \hat{A}_\mu^a(x)} [d\hat{A}] + \int d^4x A_\mu^a(x) \frac{\delta \Gamma}{\delta A_\mu^a(x)} \quad . \quad (B1)$$

We choose the background Lorentz gauge<sup>18</sup>  $D_\mu \hat{A}_\mu = 0$  with  $D_\mu^{ab} = \delta^{ab} \partial_\mu + ig f^{abc} A_\mu^c$  so we insert the factor  $\Delta(\hat{A}, A) \delta(D_\mu \hat{A}_\mu)$  where  $\Delta(\hat{A}, A) = \det M^{ab}$ ,  $M^{ab} = (D_\mu D_\mu)^{ab} - ig D_\mu^{ab'f} b' b \hat{A}_\mu^d$ . In the static abelian configuration,  $A_\mu^a = \delta_{a\bar{a}} \delta_{\mu 0} A_\mu$ , the local expansion of  $\Gamma$  reads

$$\Gamma(A_\mu^a) = - \int d^4x V(G(x)) + \int d^4x \partial_\mu G_{\mu\nu}(x) \partial_{\mu'} G_{\mu'\nu}(x) \Gamma^{(1)}(G(x)) + \dots \quad , \quad (B2)$$

with  $G_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  and  $G(x) \equiv -\frac{1}{4}G_{\mu\nu}^2$ . We insert (B2) into (B1) and use the relation,

$$\int d^4x \frac{\partial V}{\partial \hat{A}_\mu(x)} \hat{A}_\mu^{\bar{a}}(x) = 2 \int d^4x G_{\mu\nu}(x) \frac{\partial V}{\partial G(x)} \hat{G}_{\mu\nu}^{\bar{a}}(x)$$

with  $\hat{G}_{\mu\nu}^{\bar{a}} = \partial_\mu \hat{A}_\nu^{\bar{a}} - \partial_\nu \hat{A}_\mu^{\bar{a}}$ . To single out  $V$  we take the configuration where  $G_{\mu\nu}(x)$  has only one component  $G_{0z}$  and  $-G_{0z} \equiv E$  is a constant over all space. In this limit we can derive

$$\begin{aligned} \Omega V(G) = & i \ln \int e^{-\frac{i}{4} \int \hat{G}_{\mu\nu}^{\bar{a}2}(x) d^4x + 2i G_{\mu\nu} \frac{\partial V}{\partial G} \int d^4x \hat{G}_{\mu\nu}^{\bar{a}}(x)} [d\hat{A}] \\ & + 2\Omega G \frac{\partial V}{\partial G} \quad , \end{aligned} \tag{B3}$$

$$= i \ln \int e^{i \int \mathcal{L}(\hat{A}_\mu^{\bar{a}} + A_\mu^{\bar{a}}) d^4x + 2i G_{\mu\nu} \frac{\partial V}{\partial G} \int d^4x \hat{G}_{\mu\nu}^{\bar{a}}(x)} [d\hat{A}]. \tag{B3'}$$

Insertion of the term  $\Delta(\hat{A}, A) \delta(D_\mu \hat{A}_\mu)$  is understood. We cannot set  $\int d^4x \hat{G}_{\mu\nu}^{\bar{a}}(x) = 0$  because of the zero mass nature of the gluon. Indeed if (B3) is evaluated perturbatively, it has infrared divergences. Equation (B3) is an analog of the equation satisfied by the effective potential  $V(\phi(x))$ , not the effective action, in  $\lambda\phi^4$  theory, for example, where the equation satisfied by  $V$  is derived by taking the limit of constant  $\phi$ . Now we observe the following two points.

1. Equation (B3) says that the real source of the electric field which couples to the fluctuation of the gluon field is  $G_{\mu\nu} \partial V / \partial G$  (not  $G_{\mu\nu}$ ), that is, it is the displacement  $D \equiv \epsilon E$ , not  $E$ , which plays the role of the effective field. The dielectric constant  $\epsilon$  depends on how much  $\Delta\phi$  condenses in the vacuum.

2. To show  $c_1 = 0$  in the case II) of Sec. IVB, we write the expression for  $\Delta\phi$ . Now from  $W(J, J_\mu)$  of (52) with constant  $J$ , we define

$$V(J, A_\mu^a) = -W(J, J_\mu^a) + \int J_\mu^a(x) \frac{\partial W}{\partial J_\mu^a(x)} d^4x$$

with

$$A_\mu^a = A_\mu^a(J, J_\mu) = \frac{\partial W}{\partial J_\mu^a(x)}.$$

Then

$$\Omega\Delta\phi \Big|_{J=0} = \frac{\partial V(J, A_\mu^a)}{\partial J} \Big|_{J=0} = - \frac{\partial W(J, J_\mu^a)}{\partial J} \Big|_{J=0}$$

where  $J_\mu$  is expressed as a function of  $A_\mu$  and  $J$ . So we get, in the limit of constant field

$$\Delta\phi \Big|_{J=0} = \frac{\frac{1}{\Omega} \int_{\hat{L}} e^{i \int \mathcal{L} d^4x + 2c_1 i \int G_{\mu\nu} d^4x} \hat{G}_{\mu\nu}^{\bar{a}} [d\hat{A}]}{\int e^{i \int \mathcal{L} d^4x + 2c_1 i \int G_{\mu\nu} d^4x} \hat{G}_{\mu\nu}^a [d\hat{A}]},$$

where we have suppressed gauge terms, and  $\hat{L} = \int d^4x \mathcal{L}(x)$ . It is clear that if  $c_1 \neq 0$ ,  $\Delta\phi$  cannot be  $G(-\frac{1}{4}G_{\mu\nu}^2)$  independent so that  $c_1=0$ .

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- <sup>22</sup>Here  $\epsilon_0$  should be chosen in such a way that it satisfies r.g.e. so that we choose  $\epsilon_0 = g^2/g_{\mu=\mu_0}^2$  with  $g_{\mu=\mu_0}$  representing the coupling constant renormalized at some  $\mu=\mu_0$ .  $\epsilon_0$  goes to unity in the limit of free theory.
- <sup>23</sup>The connection between the behavior of the  $\beta$ -function and the  $q\bar{q}$  potential has been discussed in different context by H. Pagels and E. Tomboulis, Nucl. Phys. B143, 485 (1978).
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## FIGURE CAPTIONS

- Fig. 1      The effective potential  $\Delta V(\Delta\phi)$ .
- Fig. 2      The typical behavior of the trajectory  $\lambda_n(P^2)$ .
- Fig. 3      An example of the diagram which gives rise to the branch point in Green's function in the spacelike region of the momentum. The wavy line represents gluons and the solid line a color singlet tachyon bound state.
- Fig. 4      The trajectory  $\epsilon(G)$ .
- Fig. 5      a),b). Two possible trajectories of  $\epsilon(G)$ .
- Fig. 6      a),b). Two possible forms of  $\mathcal{L}(G)$ .
- Fig. 7      The structure of the flux tube.
- Fig. 8      The solution  $\epsilon(G)$  by the mean field approximation.
- Fig. 9      The shape of the potential  $V(\phi)$  of (78).
- Fig. 10     The relation between  $G\left(= -\frac{1}{4}G_{\mu\nu}^2\right)$  and  $\phi$  given by (82).

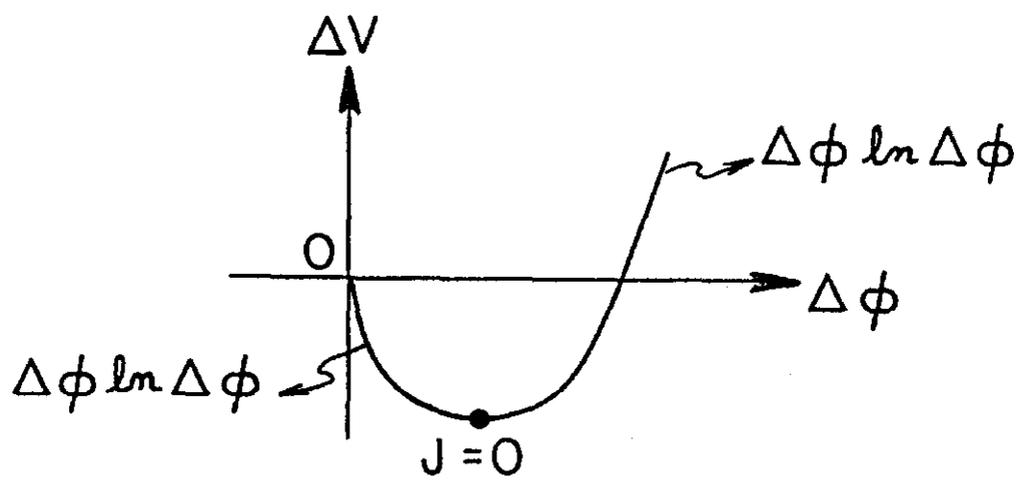


Fig. 1

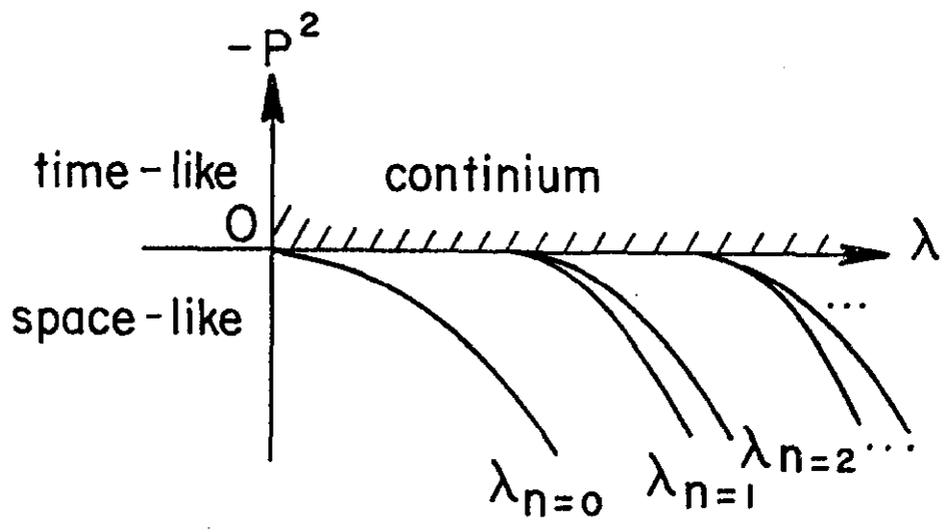


Fig. 2

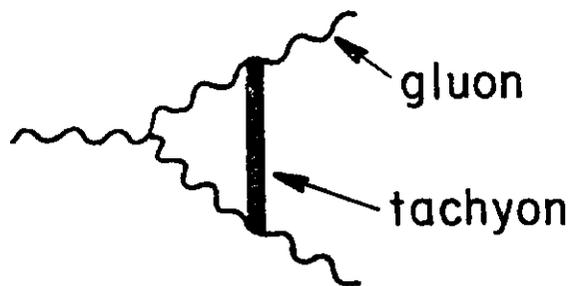


Fig. 3

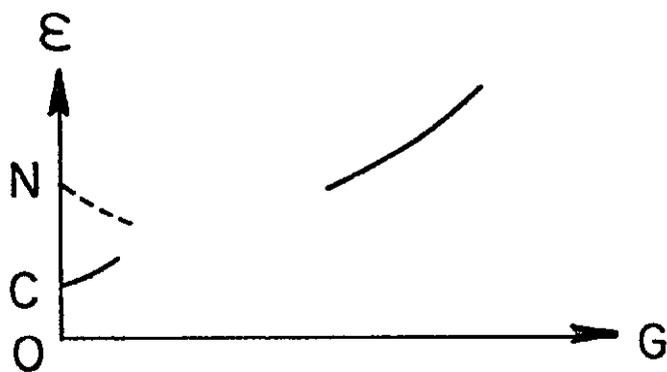


Fig. 4

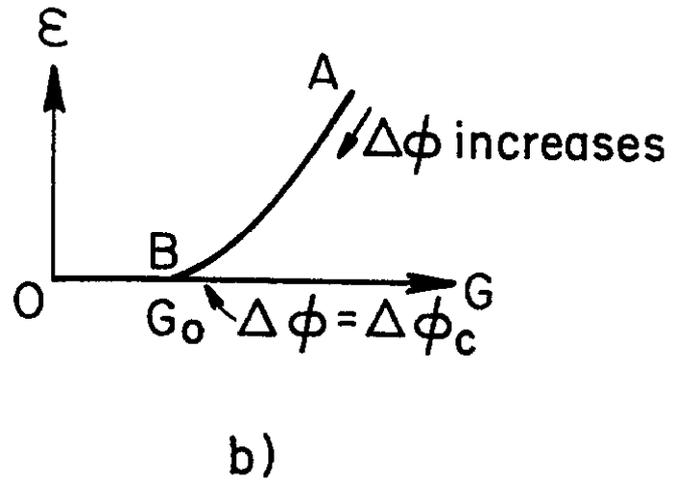
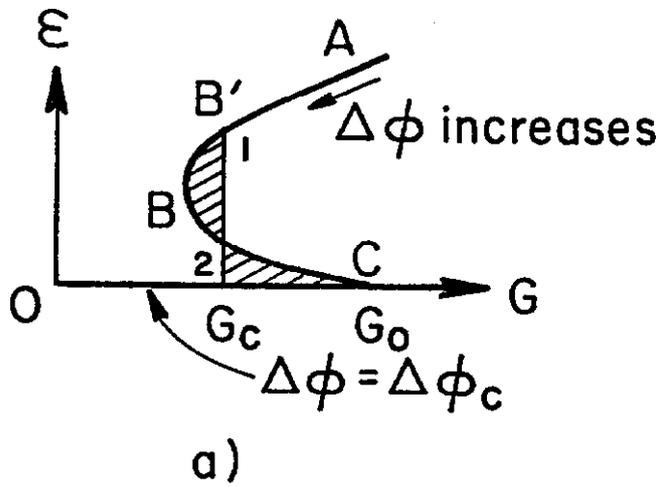


Fig. 5

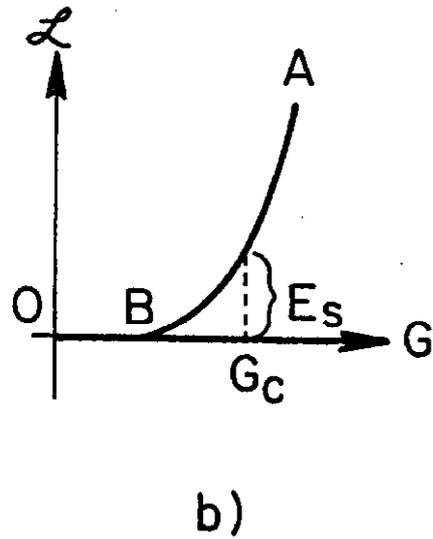
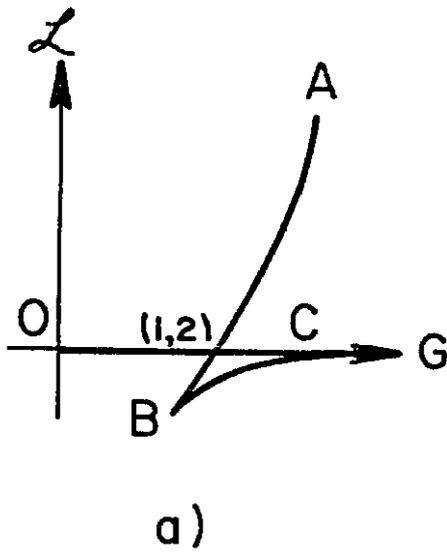


Fig. 6



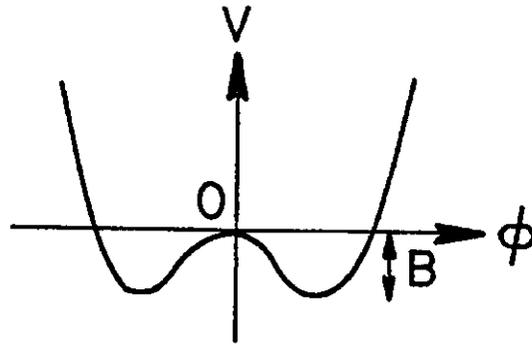


Fig. 9

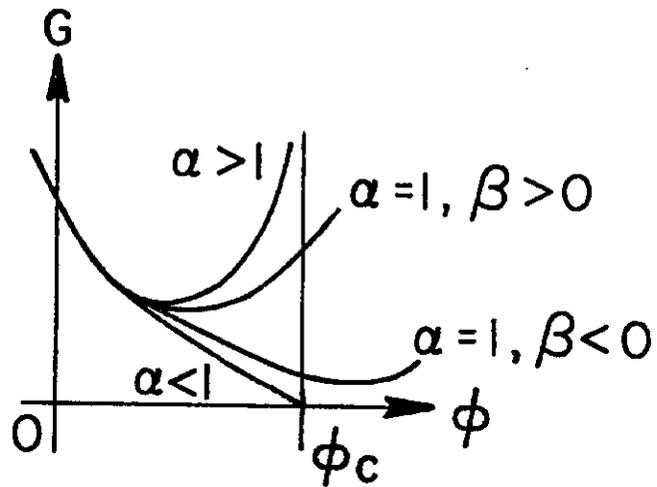


Fig. 10